

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)–

(51) International Patent Classification <sup>6</sup> : <b>C07D 401/04, A61K 31/445</b>		A1	(11) International Publication Number: <b>WO 98/03502</b> (43) International Publication Date: <b>29 January 1998 (29.01.98)</b>									
<p>(21) International Application Number: <b>PCT/US97/13375</b></p> <p>(22) International Filing Date: <b>24 July 1997 (24.07.97)</b></p> <p>(30) Priority Data:</p> <table><tr><td>08/690,258</td><td>24 July 1996 (24.07.96)</td><td>US</td></tr><tr><td>08/701,494</td><td>22 August 1996 (22.08.96)</td><td>US</td></tr><tr><td>Not furnished</td><td>30 May 1997 (30.05.97)</td><td>US</td></tr></table> <p>(71) Applicant (<i>for all designated States except US</i>): CELGENE CORPORATION [US/US]; 7 Powder Horn Drive, Warren, NJ 07059 (US).</p> <p>(72) Inventors; and</p> <p>(75) Inventors/Applicants (<i>for US only</i>): MULLER, George, W. [US/US]; 250 Windmill Court, Bridgewater, NJ 08807 (US). STIRLING, David, I. [GB/US]; 3281 Round Hill Road, Branchburg, NJ 08876 (US). CHEN, Roger, Shen-Chu [US/US]; 110 Christie Street, Edison, NJ 08820 (US).</p> <p>(74) Agents: COLLINS, Bruce, M. et al.; Mathews, Collins, Shepherd &amp; Gould, P.A., Suite 306, 100 Thanet Circle, Princeton, NJ 08540-3674 (US).</p>		08/690,258	24 July 1996 (24.07.96)	US	08/701,494	22 August 1996 (22.08.96)	US	Not furnished	30 May 1997 (30.05.97)	US	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>	
08/690,258	24 July 1996 (24.07.96)	US										
08/701,494	22 August 1996 (22.08.96)	US										
Not furnished	30 May 1997 (30.05.97)	US										
<p>(54) Title: <b>SUBSTITUTED 2(2,6-DIOXOPIPERIDIN-3-YL)PHTHALIMIDES AND -1-OXOISOINDOLINES AND METHOD OF REDUCING TNF-ALPHA LEVELS</b></p> <p>(57) Abstract</p> <p>Substituted 2-(2,6-dioxopiperidin-3-yl)phthalimides and 1-oxo-2-(2,6-dioxopiperidin-3-yl)isoindolines reduce the levels of TNF<math>\alpha</math> in a mammal. Typical embodiments are 1-oxo-2-(2,6-dioxo-3-methylpiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline and 1,3-dioxo-2-(2,6-dioxo-3-methylpiperidin-3-yl)-4-aminoisoindoline.</p>												

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakhstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LI	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

5

**SUBSTITUTED 2-(2,6-DIOXOPIPERIDIN-3-YL)-  
PHTHALIMIDES AND -1-OXOISOINDOLINES AND  
METHOD OF REDUCING TNF $\alpha$  LEVELS**

The present invention relates to substituted 2-(2,6-dioxopiperidin-3-yl)phthalimides and substituted 2-(2,6-dioxopiperidin-3-yl)-1-oxoisooindolines, the method of reducing levels of tumor necrosis factor  $\alpha$  in a mammal through the administration thereof, and pharmaceutical compositions of such derivatives.

10

*Background of the Invention*

Tumor necrosis factor  $\alpha$ , or TNF $\alpha$ , is a cytokine which is released primarily by mononuclear phagocytes in response to a number immunostimulators. When administered to animals or humans, it causes inflammation, fever, cardiovascular effects, hemorrhage, coagulation, and acute phase responses similar to those seen 15 during acute infections and shock states. Excessive or unregulated TNF $\alpha$  production thus has been implicated in a number of disease conditions. These include endotoxemia and/or toxic shock syndrome {Tracey *et al.*, *Nature* 330, 662-664 (1987) and Hinshaw *et al.*, *Circ. Shock* 30, 279-292 (1990)}; cachexia {Dezube *et al.*, *Lancet*, 335 (8690), 662 (1990)} and Adult Respiratory Distress Syndrome where TNF $\alpha$  20 concentration in excess of 12,000 pg/mL have been detected in pulmonary aspirates from ARDS patients {Millar *et al.*, *Lancet* 2(8665), 712-714 (1989)}. Systemic infusion of recombinant TNF $\alpha$  also resulted in changes typically seen in ARDS {Ferrai-Baliviera *et al.*, *Arch. Surg.* 124(12), 1400-1405 (1989)}.

TNF $\alpha$  appears to be involved in bone resorption diseases, including arthritis. 25 When activated, leukocytes will produce bone-resorption, an activity to which the data suggest TNF $\alpha$  contributes. {Bertolini *et al.*, *Nature* 319, 516-518 (1986) and Johnson *et al.*, *Endocrinology* 124(3), 1424-1427 (1989).} TNF $\alpha$  also has been shown to stimulate bone resorption and inhibit bone formation *in vitro* and *in vivo* through 30 stimulation of osteoclast formation and activation combined with inhibition of osteoblast function. Although TNF $\alpha$  may be involved in many bone resorption diseases, including arthritis, the most compelling link with disease is the association between production of TNF $\alpha$  by tumor or host tissues and malignancy associated

hypercalcemia {*Calci. Tissue Int. (US)* 46(Suppl.), S3-10 (1990)}. In Graft versus Host Reaction, increased serum TNF $\alpha$  levels have been associated with major complication following acute allogenic bone marrow transplants {Holler *et al.*, *Blood*, 75(4), 1011-1016 (1990)}.

5      Cerebral malaria is a lethal hyperacute neurological syndrome associated with high blood levels of TNF $\alpha$  and the most severe complication occurring in malaria patients. Levels of serum TNF $\alpha$  correlated directly with the severity of disease and the prognosis in patients with acute malaria attacks {Grau *et al.*, *N. Engl. J. Med.* 320(24), 1586-1591 (1989)}.

10     Macrophage-induced angiogenesis TNF $\alpha$  is known to be mediated by TNF $\alpha$ . Leibovich *et al.* {*Nature*, 329, 630-632 (1987)} showed TNF $\alpha$  induces *in vivo* capillary blood vessel formation in the rat cornea and the developing chick chorioallantoic membranes at very low doses and suggest TNF $\alpha$  is a candidate for inducing angiogenesis in inflammation, wound repair, and tumor growth. TNF $\alpha$  production also has been associated with cancerous conditions, particularly induced tumors {Ching *et al.*, *Brit. J. Cancer*, (1955) 72, 339-343, and Koch, *Progress in Medicinal Chemistry*, 22, 166-242 (1985)}.

20     TNF $\alpha$  also plays a role in the area of chronic pulmonary inflammatory diseases. The deposition of silica particles leads to silicosis, a disease of progressive respiratory failure caused by a fibrotic reaction. Antibody to TNF $\alpha$  completely blocked the silica-induced lung fibrosis in mice {Pignet *et al.*, *Nature*, 344:245-247 (1990)}. High levels of TNF $\alpha$  production (in the serum and in isolated macrophages) have been demonstrated in animal models of silica and asbestos induced fibrosis {Bissonnette *et al.*, *Inflammation* 13(3), 329-339 (1989)}. Alveolar macrophages from pulmonary sarcoidosis patients have also been found to spontaneously release massive quantities of TNF $\alpha$  as compared with macrophages from normal donors {Baughman *et al.*, *J. Lab. Clin. Med.* 115(1), 36-42 (1990)}.

30     TNF $\alpha$  is also implicated in the inflammatory response which follows reperfusion, called reperfusion injury, and is a major cause of tissue damage after loss of blood flow {Vedder *et al.*, *PNAS* 87, 2643-2646 (1990)}. TNF $\alpha$  also alters the properties of endothelial cells and has various pro-coagulant activities, such as producing an

increase in tissue factor pro-coagulant activity and suppression of the anticoagulant protein C pathway as well as down-regulating the expression of thrombomodulin {Sherry *et al.*, *J. Cell Biol.* 107, 1269-1277 (1988)}. TNF $\alpha$  has pro-inflammatory activities which together with its early production (during the initial stage of an inflammatory event) make it a likely mediator of tissue injury in several important disorders including but not limited to, myocardial infarction, stroke and circulatory shock. Of specific importance may be TNF $\alpha$ -induced expression of adhesion molecules, such as intercellular adhesion molecule (ICAM) or endothelial leukocyte adhesion molecule (ELAM) on endothelial cells {Munro *et al.*, *Am. J. Path.* 135(1), 121-132 (1989)}.

TNF $\alpha$  blockage with monoclonal anti-TNF $\alpha$  antibodies has been shown to be beneficial in rheumatoid arthritis {Elliot *et al.*, *Int. J. Pharmac.* 1995 17(2), 141-145} and Crohn's disease {von Dullemen *et al.*, *Gastroenterology*, 1995 109(1), 129-135}

Moreover, it now is known that TNF $\alpha$  is a potent activator of retrovirus replication including activation of HIV-1. {Duh *et al.*, *Proc. Nat. Acad. Sci.* 86, 5974-5978 (1989); Poll *et al.*, *Proc. Nat. Acad. Sci.* 87, 782-785 (1990); Monto *et al.*, *Blood* 79, 2670 (1990); Clouse *et al.*, *J. Immunol.* 142, 431-438 (1989); Poll *et al.*, *AIDS Res. Hum. Retrovirus*, 191-197 (1992)}. AIDS results from the infection of T lymphocytes with Human Immunodeficiency Virus (HIV). At least three types or strains of HIV have been identified, *i.e.*, HIV-1, HIV-2 and HIV-3. As a consequence of HIV infection, T-cell mediated immunity is impaired and infected individuals manifest severe opportunistic infections and/or unusual neoplasms. HIV entry into the T lymphocyte requires T lymphocyte activation. Other viruses, such as HIV-1, HIV-2 infect T lymphocytes after T cell activation and such virus protein expression and/or replication is mediated or maintained by such T cell activation. Once an activated T lymphocyte is infected with HIV, the T lymphocyte must continue to be maintained in an activated state to permit HIV gene expression and/or HIV replication. Cytokines, specifically TNF $\alpha$ , are implicated in activated T-cell mediated HIV protein expression and/or virus replication by playing a role in maintaining T lymphocyte activation. Therefore, interference with cytokine activity such as by prevention or inhibition of cytokine production, notably TNF $\alpha$ , in an HIV-infected individual assists in limiting the maintenance of T lymphocyte caused by HIV infection.

Monocytes, macrophages, and related cells, such as kupffer and glial cells, also have been implicated in maintenance of the HIV infection. These cells, like T cells, are targets for viral replication and the level of viral replication is dependent upon the activation state of the cells. {Rosenberg *et al.*, *The Immunopathogenesis of HIV Infection*, Advances in Immunology, 57 (1989)}. Cytokines, such as TNF $\alpha$ , have been shown to activate HIV replication in monocytes and/or macrophages {Poli *et al.*, *Proc. Natl. Acad. Sci.*, 87, 782-784 (1990)}, therefore, prevention or inhibition of cytokine production or activity aids in limiting HIV progression for T cells. Additional studies have identified TNF $\alpha$  as a common factor in the activation of HIV *in vitro* and has provided a clear mechanism of action via a nuclear regulatory protein found in the cytoplasm of cells (Osborn, *et al.*, *PNAS* 86 2336-2340). This evidence suggests that a reduction of TNF $\alpha$  synthesis may have an antiviral effect in HIV infections, by reducing the transcription and thus virus production.

AIDS viral replication of latent HIV in T cell and macrophage lines can be induced by TNF $\alpha$  {Folks *et al.*, *PNAS* 86, 2365-2368 (1989)}. A molecular mechanism for the virus inducing activity is suggested by TNF $\alpha$ 's ability to activate a gene regulatory protein (NF $\kappa$ B) found in the cytoplasm of cells, which promotes HIV replication through binding to a viral regulatory gene sequence (LTR) {Osborn *et al.*, *PNAS* 86, 2336-2340 (1989)}. TNF $\alpha$  in AIDS associated cachexia is suggested by elevated serum TNF $\alpha$  and high levels of spontaneous TNF $\alpha$  production in peripheral blood monocytes from patients {Wright *et al.*, *J. Immunol.* 141(1), 99-104 (1988)}. TNF $\alpha$  has been implicated in various roles with other viral infections, such as the cytomegalia virus (CMV), influenza virus, adenovirus, and the herpes family of viruses for similar reasons as those noted.

The nuclear factor  $\kappa$ B (NF $\kappa$ B) is a pleiotropic transcriptional activator (Lenardo, *et al.*, *Cell* 1989, 58, 227-29). NF $\kappa$ B has been implicated as a transcriptional activator in a variety of disease and inflammatory states and is thought to regulate cytokine levels including but not limited to TNF $\alpha$  and also to be an activator of HIV transcription (Dbaibo, *et al.*, *J. Biol. Chem.* 1993, 17762-66; Duh *et al.*, *Proc. Natl. Acad. Sci.* 1989, 86, 5974-78; Bachelerie *et al.*, *Nature* 1991, 350, 709-12; Boswas *et al.*, *J. Acquired Immune Deficiency Syndrome* 1993, 6, 778-786; Suzuki *et al.*, *Biochem. And Biophys. Res. Comm.* 1993, 193, 277-83; Suzuki *et al.*, *Biochem. And Biophys. Res. Comm.* 1992, 189, 1709-15; Suzuki *et al.*, *Biochem. Mol. Bio. Int.* 1993, 31(4), 693-

700; Shakhov *et al.*, *Proc. Natl. Acad. Sci. USA* 1990, 171, 35-47; and Staal *et al.*,  
Proc. Natl. Acad. Sci. USA 1990, 87, 9943-47). Thus, inhibition of NF $\kappa$ B binding can  
regulate transcription of cytokine gene(s) and through this modulation and other  
mechanisms be useful in the inhibition of a multitude of disease states. The  
5 compounds described herein can inhibit the action of NF $\kappa$ B in the nucleus and thus  
are useful in the treatment of a variety of diseases including but not limited to rheuma-  
toid arthritis, rheumatoid spondylitis, osteoarthritis, other arthritic conditions, septic  
shock, sepsis, endotoxic shock, graft versus host disease, wasting, Crohn's disease,  
10 ulcerative colitis, multiple sclerosis, systemic lupus erythrematosis, ENL in leprosy,  
HIV, AIDS, and opportunistic infections in AIDS. TNF $\alpha$  and NF $\kappa$ B levels are  
influenced by a reciprocal feedback loop. As noted above, the compounds of the  
present invention affect the levels of both TNF $\alpha$  and NF $\kappa$ B.

Many cellular functions are mediated by levels of adenosine 3',5'-cyclic monophos-  
phate (cAMP). Such cellular functions can contribute to inflammatory conditions and  
15 diseases including asthma, inflammation, and other conditions (Lowe and Cheng, *Drugs of*  
*the Future*, 17(9), 799-807, 1992). It has been shown that the elevation of cAMP in  
inflammatory leukocytes inhibits their activation and the subsequent release of inflamma-  
tory mediators, including TNF $\alpha$  and NF $\kappa$ B. Increased levels of cAMP also leads to the  
relaxation of airway smooth muscle.

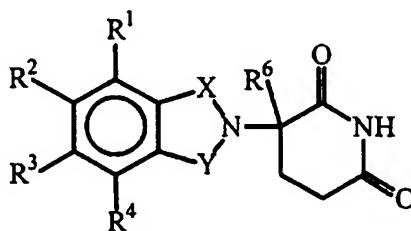
20 Decreasing TNF $\alpha$  levels and/or increasing cAMP levels thus constitutes a valuable  
therapeutic strategy for the treatment of many inflammatory, infectious, immunologi-  
cal, and malignant diseases. These include but are not restricted to septic shock,  
sepsis, endotoxic shock, hemodynamic shock and sepsis syndrome, post ischemic  
25 reperfusion injury, malaria, mycobacterial infection, meningitis, psoriasis, congestive  
heart failure, fibrotic disease, cachexia, graft rejection, oncogenic or cancerous  
conditions, asthma, autoimmune disease, opportunistic infections in AIDS, rheumatoid  
arthritis, rheumatoid spondylitis, osteoarthritis, other arthritic conditions, Crohn's  
disease, ulcerative colitis, multiple sclerosis, systemic lupus erythrematosis, ENL in  
leprosy, radiation damage, oncogenic conditions, and hyperoxic alveolar injury. Prior  
30 efforts directed to the suppression of the effects of TNF $\alpha$  have ranged from the  
utilization of steroids such as dexamethasone and prednisolone to the use of both  
polyclonal and monoclonal antibodies {Beutler *et al.*, *Science* 234, 470-474 (1985);  
WO 92/11383}.

- 6 -

*Detailed Description*

The present invention is based on the discovery that certain classes of non-polypeptide compounds more fully described herein decrease the levels of TNF $\alpha$ .

In particular, the invention pertains to (i) compounds of the formula:



5

I.

in which:

one of X and Y is C=O and the other of X and Y is C=O or CH<sub>2</sub>;

10 (i) each of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup>, independently of the others, is halo, alkyl of 1 to 4 carbon atoms, or alkoxy of 1 to 4 carbon atoms or (ii) one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> is -NHR<sup>5</sup> and the remaining of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are hydrogen;

R<sup>5</sup> is hydrogen or alkyl of 1 to 8 carbon atoms;

R<sup>6</sup> is hydrogen, alkyl of 1 to 8 carbon atoms, benzyl, or halo;

15 provided that R<sup>6</sup> is other than hydrogen if X and Y are C=O and (i) each of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> is fluoro or (ii) one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, or R<sup>4</sup> is amino; and

(b) the acid addition salts of said compounds which contain a nitrogen atom capable of being protonated.

A preferred group of compounds are those of Formula I in which each of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup>, independently of the others, is halo, alkyl of 1 to 4 carbon atoms, or alkoxy of 1 to 4 carbon atoms, and R<sup>6</sup> is hydrogen, methyl, ethyl, or propyl. A second preferred group of compounds are those of Formula I in which one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> is -NH<sub>2</sub>, the remaining of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are hydrogen, and R<sup>6</sup> is hydrogen, methyl, ethyl, or propyl.

Unless otherwise defined, the term alkyl denotes a univalent saturated branched or straight hydrocarbon chain containing from 1 to 8 carbon atoms. Representative of such alkyl groups are methyl, ethyl, propyl, isopropyl, butyl, isobutyl, sec-butyl, and tert-butyl. Alkoxy refers to an alkyl group bound to the remainder of the molecule through an ethereal oxygen atom. Representative of such alkoxy groups are methoxy, ethoxy, propoxy, isopropoxy, butoxy, isobutoxy, sec-butoxy, and tert-butoxy. Preferably R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are chloro, fluoro, methyl or methoxy.

The compounds of Formula I are used, under the supervision of qualified professionals, to inhibit the undesirable effects of TNF $\alpha$ . The compounds can be administered orally, rectally, or parenterally, alone or in combination with other therapeutic agents including antibiotics, steroids, etc., to a mammal in need of treatment.

The compounds of the present invention also can be used topically in the treatment or prophylaxis of topical disease states mediated or exacerbated by excessive TNF $\alpha$  production, respectively, such as viral infections, such as those caused by the herpes viruses, or viral conjunctivitis, psoriasis, atopic dermatitis, etc.

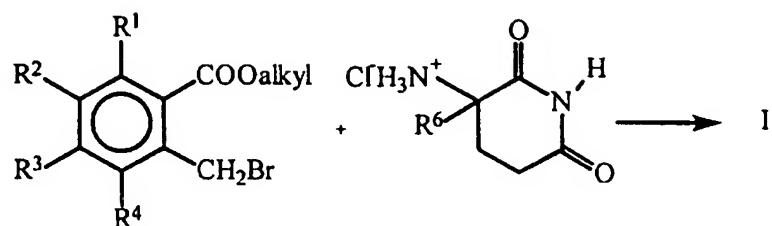
The compounds also can be used in the veterinary treatment of mammals other than humans in need of prevention or inhibition of TNF $\alpha$  production. TNF $\alpha$  mediated diseases for treatment, therapeutically or prophylactically, in animals include disease states such as those noted above, but in particular viral infections. Examples include feline immunodeficiency virus, equine infectious anaemia virus, caprine arthritis virus, visna virus, and maedi virus, as well as other lentiviruses.

Compounds in which one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> is amino and R<sup>5</sup> and R<sup>6</sup>, as well as the remainder of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, are hydrogen, as for example, 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl)-4-aminoisoindoline or 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl)-5-aminoisoindoline are known. See, e.g., Jönsson, *Acta Pharma. Succica*, 9, 521-542 (1972).

The compounds can be prepared using methods which are known in general. In particular, the compounds can be prepared through the reaction of 2,6-dioxopiperidin-3-ammonium chloride, and a lower alkyl ester of 2-bromomethylben-

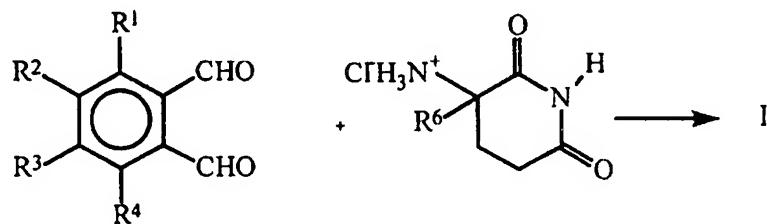
- 8 -

zoic acid in the presence of an acid acceptor such as dimethylaminopyridine or triethylamine.



The substituted benzoate intermediates are known or can be obtained through conventional processes. For example, a lower alkyl ester of an *ortho*-toluic acid is brominated with N-bromosuccinimide under the influence of light to yield the lower alkyl 2-bromomethylbenzoate.

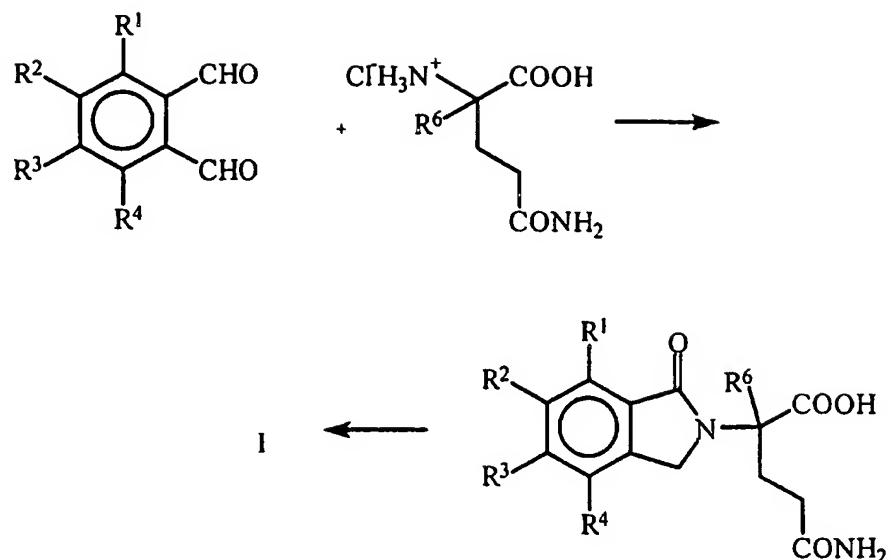
Alternatively, a dialdehyde is allowed to react with 2,6-dioxopiperidin-3-  
ammonium chloride:



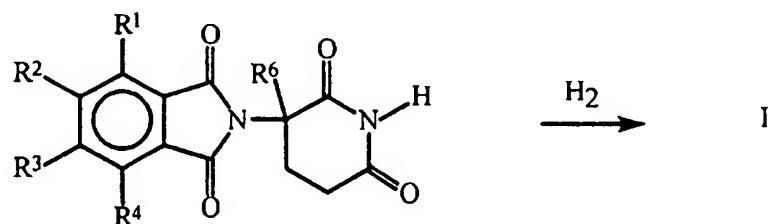
10

In a further method, a dialdehyde is allowed to react with glutamine and the resulting 2-(1-oxoisindolin-2-yl)glutaric acid then cyclized to yield a 1-oxo-2-(2,6-dioxopiperidin-3-yl)-isoindoline of Formula I:

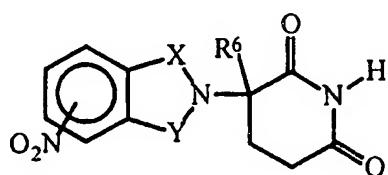
- 9 -



Finally, an appropriately substituted phthalidimide intermediate is selectively reduced:



5 Amino compounds can be prepared through catalytic hydrogenation of the corresponding nitro compound:



The nitro intermediates of Formula IA are known or can be obtained through conventional processes. For example, a nitrophthalic anhydride is allowed to react with  $\alpha$ -aminoglutaramide hydrochloride {alternatively named as 2,6-dioxopiperidin-3-ylammonium chloride} in the presence of sodium acetate and glacial acetic acid to 5 yield an intermediate of Formula IA in which X and Y are both C=O.

In a second route, a lower alkyl ester of nitro-*ortho*-toluic acid is brominated with N-bromosuccinimide under the influence of light to yield a lower alkyl 2-(bromomethyl)nitrobenzoate. This is allowed to react with 2,6-dioxopiperidin-3-10 ammonium chloride in, for example, dimethylformamide in the presence of triethylamine to yield an intermediate of Formula II in which one of X is C=O and the other is CH<sub>2</sub>.

Alternatively, if one of R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> is protected amino, the protecting 15 group can be cleaved to yield the corresponding compound in which one of R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> is amino. Protecting groups utilized herein denote groups which generally are not found in the final therapeutic compounds but which are intentionally introduced at some stage of the synthesis in order to protect groups which otherwise might be altered in the course of chemical manipulations. Such protecting groups are removed at a later stage of the synthesis and compounds bearing such protecting 20 groups thus are of importance primarily as chemical intermediates (although some derivatives also exhibit biological activity). Accordingly the precise structure of the protecting group is not critical. Numerous reactions for the formation and removal of such protecting groups are described in a number of standard works including, for example, "Protective Groups in Organic Chemistry", Plenum Press, London and New York, 1973; Greene, Th. W. "Protective Groups in Organic Synthesis", Wiley, New 25 York, 1981; "The Peptides", Vol. I, Schröder and Lubke, Academic Press, London and New York, 1965; "Methoden der organischen Chemie", Houben-Weyl, 4th Edition, Vol.15/I, Georg Thieme Verlag, Stuttgart 1974, the disclosures of which are incorporated herein by reference. An amino group can be protected as an amide utilizing an acyl group which is selectively removable under mild conditions, especially 30 benzyloxycarbonyl, formyl, or a lower alkanoyl group which is branched in 1- or  $\alpha$  position to the carbonyl group, particularly tertiary alkanoyl such as pivaloyl, a lower alkanoyl group which is substituted in the position  $\alpha$  to the carbonyl group, as for example trifluoroacetyl.

The compounds of the present invention possess a center of chirality and can exist as optical isomers. Both the racemates of these isomers and the individual isomers themselves, as well as diastereomers when there are two chiral centers, are within the scope of the present invention. The racemates can be used as such or can be separated 5 into their individual isomers mechanically as by chromatography using a chiral adsorbent. Alternatively, the individual isomers can be prepared in chiral form or separated chemically from a mixture by forming salts with a chiral acid, such as the individual enantiomers of 10-camphorsulfonic acid, camphoric acid,  $\alpha$ -bromocamphoric acid, methoxyacetic acid, tartaric acid, diacetyltauric acid, malic acid, 10 pyrrolidone-5-carboxylic acid, and the like, and then freeing one or both of the resolved bases, optionally repeating the process, so as obtain either or both substantially free of the other; *i.e.*, in a form having an optical purity of >95%.

The present invention also pertains to the physiologically acceptable non-toxic acid addition salts of the compounds of Formula I. Such salts include those derived from 15 organic and inorganic acids such as, without limitation, hydrochloric acid, hydrobromic acid, phosphoric acid, sulfuric acid, methanesulphonic acid, acetic acid, tartaric acid, lactic acid, succinic acid, citric acid, malic acid, maleic acid, sorbic acid, aconitic acid, salicylic acid, phthalic acid, embonic acid, enanthic acid, and the like.

Oral dosage forms include tablets, capsules, dragees, and similar shaped, compressed pharmaceutical forms containing from 1 to 100 mg of drug per unit dosage. 20 Isotonic saline solutions containing from 20 to 100 mg/mL can be used for parenteral administration which includes intramuscular, intrathecal, intravenous and intra-arterial routes of administration. Rectal administration can be effected through the use of suppositories formulated from conventional carriers such as cocoa butter.

25 Pharmaceutical compositions thus comprise one or more compounds of the present invention associated with at least one pharmaceutically acceptable carrier, diluent or excipient. In preparing such compositions, the active ingredients are usually mixed with or diluted by an excipient or enclosed within such a carrier which can be in the form of a capsule or sachet. When the excipient serves as a diluent, it may be a solid, 30 semi-solid, or liquid material which acts as a vehicle, carrier, or medium for the active ingredient. Thus, the compositions can be in the form of tablets, pills, powders, elixirs, suspensions, emulsions, solutions, syrups, soft and hard gelatin capsules,

suppositories, sterile injectable solutions and sterile packaged powders. Examples of suitable excipients include lactose, dextrose, sucrose, sorbitol, mannitol, starch, gum acacia, calcium silicate, microcrystalline cellulose, polyvinylpyrrolidinone, cellulose, water, syrup, and methyl cellulose, the formulations can additionally include lubricating agents such as talc, magnesium stearate and mineral oil, wetting agents, emulsifying and suspending agents, preserving agents such as methyl- and propylhydroxybenzoates, sweetening agents or flavoring agents.

The compositions preferably are formulated in unit dosage form, meaning physically discrete units suitable as a unitary dosage, or a predetermined fraction of a unitary dose to be administered in a single or multiple dosage regimen to human subjects and other mammals, each unit containing a predetermined quantity of active material calculated to produce the desired therapeutic effect in association with a suitable pharmaceutical excipient. The compositions can be formulated so as to provide an immediate, sustained or delayed release of active ingredient after administration to the patient by employing procedures well known in the art.

Oral dosage forms include tablets, capsules, dragees, and similar shaped, compressed pharmaceutical forms containing from 1 to 100 mg of drug per unit dosage. Isotonic saline solutions containing from 20 to 100 mg/mL can be used for parenteral administration which includes intramuscular, intrathecal, intravenous and intra-arterial routes of administration. Rectal administration can be effected through the use of suppositories formulated from conventional carriers such as cocoa butter.

Pharmaceutical compositions thus comprise one or more compounds of the present invention associated with at least one pharmaceutically acceptable carrier, diluent or excipient. In preparing such compositions, the active ingredients are usually mixed with or diluted by an excipient or enclosed within such a carrier which can be in the form of a capsule or sachet. When the excipient serves as a diluent, it may be a solid, semi-solid, or liquid material which acts as a vehicle, carrier, or medium for the active ingredient. Thus, the compositions can be in the form of tablets, pills, powders, elixirs, suspensions, emulsions, solutions, syrups, soft and hard gelatin capsules, suppositories, sterile injectable solutions and sterile packaged powders. Examples of suitable excipients include lactose, dextrose, sucrose, sorbitol, mannitol, starch, gum acacia, calcium silicate, microcrystalline cellulose, polyvinylpyrrolidinone, cellulose,

water, syrup, and methyl cellulose, the formulations can additionally include lubricating agents such as talc, magnesium stearate and mineral oil, wetting agents, emulsifying and suspending agents, preserving agents such as methyl- and propylhydroxybenzoates, sweetening agents or flavoring agents.

5      The compositions preferably are formulated in unit dosage form, meaning physically discrete units suitable as a unitary dosage, or a predetermined fraction of a unitary dose to be administered in a single or multiple dosage regimen to human subjects and other mammals, each unit containing a predetermined quantity of active material calculated to produce the desired therapeutic effect in association with a  
10     suitable pharmaceutical excipient.

The compositions can be formulated so as to provide an immediate, sustained or delayed release of active ingredient after administration to the patient by employing procedures well known in the art.

15     The following examples will serve to further typify the nature of this invention but should not be construed as a limitation in the scope thereof, which scope is defined solely by the appended claims.

#### *EXAMPLE 1*

##### **1,3-Dioxo-2-(2,6-dioxopiperidin-3-yl)-5-aminoisoindoline**

A mixture of 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl)-5-nitroisoindoline {alternatively named as N-(2,6-dioxopiperidin-3-yl)-4-nitrophthalimide} (1 g, 3.3 mmol) and 20 10% Pd/C (0.13 g) in 1,4-dioxane (200 mL) was hydrogenated at 50 psi for 6.5 hours. The catalyst was filtered through Celite and the filtrate concentrated *in vacuo*. The residue was crystallized from ethyl acetate (20 mL) to give 0.62 g (69%) of 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl)-5-aminoisoindoline {alternatively named as N-(2,6-  
25 dioxopiperidin-3-yl)-4-aminophthalimide} as an orange solid. Recrystallization from dioxane/ethyl acetate gave 0.32 g of yellow solid: mp 318.5-320.5°C; HPLC (nova Pak C18,15/85 acetonitrile/0.1%H<sub>3</sub>PO<sub>4</sub>) 3.97 min (98.22%); <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ 11.08(s, 1H), 7.53-7.50 (d, J=8.3 Hz, 1H), 6.94(s, 1H), 6.84-6.81(d, J=8.3 Hz, 1H), 6.55(s, 2H), 5.05-4.98(m, 1H), 2.87-1.99(m, 4H); <sup>13</sup>C NMR (DMSO-d<sub>6</sub>) δ 30 172.79, 170.16, 167.65, 167.14, 155.23, 134.21, 125.22, 116.92, 116.17, 107.05,

48.58, 30.97, 22.22; Anal. Calcd for  $C_{13}H_{11}N_3O_4$ : C, 57.14; H, 4.06; N, 15.38. Found: C, 56.52; H, 4.17; N, 14.60.

In a similar fashion from 1-oxo-2-(2,6-dioxopiperidin-3-yl)-5-nitroisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4-nitroisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-6-nitroisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-7-nitroisoindoline, and 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl)-4-nitroisoindoline, there is respectively obtained 1-oxo-2-(2,6-dioxopiperidin-3-yl)-5-aminoisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4-aminoisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-6-aminoisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-7-aminoisoindoline, and 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl)-4-aminoisoindoline, respectively, upon hydrogenation.

#### EXAMPLE 2

##### 1,3-Dioxo-2-(2,6-dioxopiperidin-3-yl)-5-nitroisoindoline

A mixture of 4-nitrophthalic anhydride (1.7 g, 8.5 mmol),  $\alpha$ -aminoglutaramide hydrochloride (1.4 g, 8.5 mmol) and sodium acetate (0.7 g, 8.6 mmol) in glacial acetic acid (30 mL) was heated under reflux for 17 hours. The mixture was concentrated *in vacuo* and the residue was stirred with methylene chloride (40 mL) and water (30 mL). The aqueous layer was separated, extracted with methylene chloride (2x40 mL). The combined methylene chloride solutions were dried over magnesium sulfate and concentrated *in vacuo* to give 1.4 g (54%) of 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl)-5-nitroisoindoline as a light brown solid. An analytical sample was obtained by recrystallization from methanol: mp 228.5-229.5°C;  $^1H$  NMR (DMSO-d<sub>6</sub>)  $\delta$  11.18(s, 1 H), 8.69-8.65(d, d J=1.9 and 8.0 Hz, 1H), 8.56(d, J=1.9 Hz, 1H), 8.21(d, H=8.2 Hz, 1H), 5.28(d, d J=5.3 and 12.8 Hz, 1H), 2.93-2.07(m, 4H);  $^{13}C$  NMR (DMSO-d<sub>6</sub>)  $\delta$  172.66, 169.47, 165.50, 165.23, 151.69, 135.70, 132.50, 130.05, 124.97, 118.34, 49.46, 30.85, 21.79; Anal. Calcd for  $C_{13}H_9N_3O_6$ : C, 51.49; H, 2.99; N, 13.86. Found: C, 51.59; H, 3.07; N, 13.73.

1-Oxo-2-(2,6-dioxopiperidin-3-yl)-5-nitroisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4-nitroisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-6-nitroisoindoline, and 1-oxo-2-(2,6-dioxopiperidin-3-yl)-7-nitroisoindoline can be obtained by allowing 2,6-dioxopiperidin-3-ammonium chloride to react with methyl 2-bromomethyl-5-nitrobenzoate, methyl 2-bromomethyl-4-nitrobenzoate, methyl 2-bromomethyl-6-

nitrobenzoate, and methyl 2-bromomethyl-7-nitrobenzoate, respectively, in dimethylformamide in the presence of triethylamine. The methyl 2-(bromomethyl)nitrobenzoates in turn are obtained from the corresponding methyl esters of nitro-*ortho*-toluic acids by conventional bromination with N-bromosuccinimide under the influence of light.

#### EXAMPLE 3

##### 1-Oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline

A mixture of 16.25 g of 2,6-dioxopiperidin-3-ammonium chloride, and 30.1 g of methyl 2-bromomethyl-3,4,5,6-tetrafluorobenzoate, and 12.5 g of triethylamine in 100 mL of dimethylformamide is stirred at room temperature for 15 hours. The mixture is then concentrated *in vacuo* and the residue mixed with methylene chloride and water. The aqueous layer is separated and back-extracted with methylene chloride. The combined methylene chloride solutions are dried over magnesium sulfate and concentrated *in vacuo* to give 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline.

In a similar fashion 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetrachloroisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetramethylisoindoline, and 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetramethoxyisoindoline are obtained by substituting equivalent amounts of 2-bromomethyl-3,4,5,6-tetrachlorobenzoate, 2-bromomethyl-3,4,5,6-tetramethylbenzoate, and 2-bromomethyl-3,4,5,6-tetramethoxybenzoate, respectively, for 2-bromomethyl-3,4,5,6-tetrafluorobenzoate.

#### EXAMPLE 4

##### N-Benzylloxycarbonyl- $\alpha$ -methyl-glutamic Acid

To a stirred solution of  $\alpha$ -methyl-D,L-glutamic acid (10 g, 62 mmol) in 2 N sodium hydroxide (62 mL) at 0-5°C was added benzyl chloroformate (12.7 g, 74.4 mmol) over 30 min. After the addition was complete the reaction mixture was stirred at room temperature for 3 hours. During this time the pH was maintained at 11 by addition of 2N sodium hydroxide (33 mL). The reaction mixture was then extracted with ether (60 mL). The aqueous layer was cooled in an ice bath and then acidified 30 with 4N hydrochloric acid (34 mL) to pH=1. The resulting mixture was extracted

with ethyl acetate (3 x 100 mL). The combined ethyl acetate extracts were washed with brine (60 mL) and dried ( $\text{MgSO}_4$ ). The solvent was removed in vacuo to give 15.2 g (83%) of N-benzyloxycarbonyl- $\alpha$ -methylglutamic acid as an oil:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  8.73(m, 5H), 5.77(b, 1H), 5.09(s, 2H), 2.45-2.27(m, 4H), 2.0(s, 3H).

5 In a similar fashion from  $\alpha$ -ethyl-D,L-glutamic acid and  $\alpha$ -propyl-D,L-glutamic acid, there is obtained N-benzyloxycarbonyl- $\alpha$ -ethylglutamic acid and N-benzyloxycarbonyl- $\alpha$ -propylglutamic acid, respectively.

#### EXAMPLE 5

##### N-Benzylcarbonyl- $\alpha$ -methyl-glutamic Anhydride

10 A stirred mixture of N-benzyloxycarbonyl- $\alpha$ -methyl-glutamic acid (15 g, 51 mmol) and acetic anhydride (65 mL) was heated at reflux under nitrogen for 30 min. The reaction mixture was cooled to room temperature and then concentrated in vacuo to afford N-benzylcarbonyl- $\alpha$ -methylglutamic anhydride as an oil (15.7 g) which can be used in next reaction without further purification:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  7.44-7.26 (m, 5H), 5.32-5.30 (m, 2H), 5.11 (s, 1H), 2.69-2.61 (m, 2H), 2.40-2.30 (m, 2H), 1.68 (s, 3H).

15

In a similar fashion from N-benzyloxycarbonyl- $\alpha$ -ethylglutamic acid and N-benzyloxycarbonyl- $\alpha$ -propylglutamic acid, there is obtained N-benzylcarbonyl- $\alpha$ -ethylglutamic anhydride and N-benzylcarbonyl- $\alpha$ -propylglutamic anhydride, respectively.

20 **EXAMPLE 6**

##### N-Benzylcarbonyl- $\alpha$ -methylisoglutamine

A stirred solution of N-benzylcarbonyl- $\alpha$ -methylglutamic anhydride (14.2 g, 51.5 mmol) in methylene chloride (100 mL) was cooled in an ice bath. Gaseous ammonia was bubbled into the cooled solution for 2 hours. The reaction mixture was stirred at 25 room temperature for 17 hours and then extracted with water (2 x 50 mL). The combined aqueous extracts were cooled in an ice bath and acidified with 4N hydrochloric acid (32 mL) to pH 1. The resulting mixture was extracted with ethyl acetate (3 x 80 mL). The combined ethyl acetate extracts were washed with brine (60 mL) and then dried ( $\text{MgSO}_4$ ). The solvent was removed in vacuo to give 11.5 g of N-benzyloxycarbonyl- $\alpha$ -amino- $\alpha$ -methylisoglutamine:  $^1\text{H}$  NMR ( $\text{CDCl}_3/\text{DMSO}$ )  $\delta$  7.35 (m, 5H), 7.01 (s, 1H), 6.87 (s, 1H), 6.29 (s, 1H), 5.04 (s, 2H), 2.24-1.88 (m, 4H), 1.53 (s, 3H).

30

In a similar fashion from N-benzylcarbonyl- $\alpha$ -ethylglutamic anhydride and N-benzylcarbonyl- $\alpha$ -propylglutamic anhydride there is obtained N-benzyloxycarbonyl- $\alpha$ -amino- $\alpha$ -ethylisoglutamine and N-benzyloxycarbonyl- $\alpha$ -amino- $\alpha$ -propylisoglutamine, respectively.

5

**EXAMPLE 7****N-Benzyloxycarbonyl- $\alpha$ -amino- $\alpha$ -methylglutarimide**

A stirred mixture of N-benzyloxycarbonyl- $\alpha$ -methylisoglutamine (4.60 g, 15.6 mmol), 1,1'-carbonyldiimidazole (2.80 g, 17.1 mmol), and 4-dimethylaminopyridine (0.05 g) in tetrahydrofuran (50 mL) was heated to reflux under nitrogen for 17 hours. 10 The reaction mixture was then concentrated in vacuo to an oil. The oil was slurried in water (50 mL) for 1 hour. The resulting suspension was filtered and the solid washed with water and air dried to afford 3.8 g of the crude product as a white solid. The crude product was purified by flash chromatography (methylene chloride:ethyl acetate 8:2) to afford 2.3 g (50%) of N-benzyloxycarbonyl- $\alpha$ -amino- $\alpha$ -methylglutarimide as a 15 white solid: mp 150.5-152.5°C; <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$  8.21 (s, 1H), 7.34 (s, 5H), 5.59 (s, 1H), 5.08 (s, 2H), 2.74-2.57 (m, 3H), 2.28-2.25 (m, 1H), 1.54 (s, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$  174.06, 171.56, 154.68, 135.88, 128.06, 127.69, 127.65, 66.15, 54.79, 29.14, 28.70, 21.98; HPLC : Waters Nova-Pak C18 column, 4 micron, 3.9x150 mm, 1mL/min, 240nm, 20/80 CH<sub>3</sub>CN/0.1% H<sub>3</sub>PO<sub>4</sub>(aq), 7.56 min (100%); Anal. Calcd For 20 C<sub>14</sub>H<sub>16</sub>N<sub>2</sub>O<sub>4</sub>; C, 60.86; H, 5.84; N, 10.14. Found: C, 60.88; H, 5.72; N, 10.07.

In a similar fashion from N-benzyloxycarbonyl- $\alpha$ -amino- $\alpha$ -ethylisoglutamine and N-benzyloxycarbonyl- $\alpha$ -amino- $\alpha$ -propylisoglutamine there is obtained N-benzyloxycarbonyl- $\alpha$ -amino- $\alpha$ -ethylglutarimide and N-benzyloxycarbonyl- $\alpha$ -amino- $\alpha$ -propylglutarimide, respectively.

25

**EXAMPLE 8** **$\alpha$ -Amino- $\alpha$ -methylglutarimide hydrochloride**

N-Benzyloxycarbonyl- $\alpha$ -amino- $\alpha$ -methylglutarimide (2.3 g, 8.3 mmol) was dissolved in ethanol (200 mL) with gentle heat and the resulting solution allowed to cool to room temperature. To this solution was added 4N hydrochloric acid (3 mL) 30 followed by 10% Pd/C (0.4 g). The mixture was hydrogenated in a Parr apparatus under 50 psi of hydrogen for 3 hours. To the mixture was added water (50 mL) to dissolve the product. This mixture was filtered through a Celite pad which was

washed with water (50 mL). The filtrate was concentrated in vacuo to afford a solid residue. The solid was slurried in ethanol (20 mL) for 30 min. The slurry was filtered to afford 1.38 g (93%) of  $\alpha$ -amino- $\alpha$ -methylglutarimide hydrochloride as a white solid:  $^1\text{H}$  NMR (DMSO-d<sub>6</sub>)  $\delta$  11.25 (s, 1H), 8.92 (s, 3H), 2.84-2.51 (m, 2H), 2.35-5 2.09 (m, 2H), 1.53 (s, 3H); HPLC, Waters Nova-Pak C<sub>18</sub> column, 4 micron, 1 mL/min, 240 nm, 20/80 CH<sub>3</sub>CN/ 0.1% H<sub>3</sub>PO<sub>4</sub>(aq), 1.03 min (94.6%).

In a similar fashion from N-benzyloxycarbonyl- $\alpha$ -amino- $\alpha$ -ethylglutarimide and N-benzyloxycarbonyl- $\alpha$ -amino- $\alpha$ -propylglutarimide there is obtained  $\alpha$ -amino- $\alpha$ -ethylglutarimide hydrochloride and  $\alpha$ -amino- $\alpha$ -propylglutarimide hydrochloride, 10 respectively.

#### **EXAMPLE 9**

##### **3-(3-Nitrophthalimido)-3-methylpiperidine-2,6-dione**

A stirred mixture of  $\alpha$ -amino- $\alpha$ -methylglutarimide hydrochloride (1.2 g, 6.7 mmol), 3-nitrophthalic anhydride (1.3 g, 6.7 mmol), and sodium acetate (0.6 g, 7.4 mmol) in acetic acid (30 mL) was heated to reflux under nitrogen for 6 hours. The mixture then was cooled and concentrated in vacuo. The resulting solid was slurried 15 in water (30 mL) and methylene chloride (30 mL) for 30 min. The suspension was filtered, the solid was washed with methylene chloride, and dried in vacuo (60°C, <1 mm) to afford 1.44 g (68%) of 3-(3-nitrophthalimido)-3-methylpiperidine-2,6-dione as a off-white solid : mp 265-266.5°C;  $^1\text{H}$  NMR (DMSO-d<sub>6</sub>)  $\delta$  11.05 (s, 1H), 8.31 (dd, 20 J=1.1 and 7.9 Hz, 1H), 8.16-8.03 (m, 2H), 2.67-2.49 (m, 3H), 2.08-2.02 (m, 1H), 1.88 (s, 3H);  $^{13}\text{C}$  NMR (DMSO-d<sub>6</sub>)  $\delta$  172.20, 171.71, 165.89, 163.30, 144.19, 136.43, 133.04, 128.49, 126.77, 122.25, 59.22, 28.87, 28.49, 21.04; HPLC, Water Nova-Pak/C<sub>18</sub> column, 4 micron, 1 mL/min, 240nm, 20/80 CH<sub>3</sub>CN/0.1% H<sub>3</sub>PO<sub>4</sub>(aq), 7.38 25 min(98%). Anal. Calcd For C<sub>14</sub>H<sub>11</sub>N<sub>3</sub>O<sub>6</sub> : C, 53.00; H, 3.49; N, 13.24. Found : C, 52.77; H, 3.29; N, 13.00.

In a similar fashion from  $\alpha$ -amino- $\alpha$ -ethylglutarimide hydrochloride and  $\alpha$ -amino- $\alpha$ -propylglutarimide hydrochloride there is obtained 3-(3-nitrophthalimido)-3-ethylpiperidine-2,6-dione and 3-(3-nitrophthalimido)-3-propylpiperidine-2,6-dione, 30 respectively.

**EXAMPLE 10****3-(3-Aminophthalimido)-3-methylpiperidine-2,6-dione**

3-(3-Nitrophthalimido)-3-methylpiperidine-2,6-dione (0.5 g, 1.57 mmol) was dissolved in acetone (250 mL) with gentle heat and then cooled to room temperature.

5 To this solution was added 10% Pd/C (0.1 g) under nitrogen. The mixture was hydrogenated in a Parr apparatus at 50 psi of hydrogen for 4 hours. The mixture then was filtered through Celite and the pad washed with acetone (50 mL). The filtrate was concentrated in vacuo to yield a yellow solid. The solid was slurried in ethyl acetate (10 mL) for 30 minutes. The slurry then was filtered and dried (60°C, <1 mm)

10 to afford 0.37 g (82%) of 3-(3-aminophthalimido)-3-methylpiperidine-2,6-dione as a yellow solid: mp 268-269°C; <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ 10.98 (s, 1H), 7.44 (dd, J=7.1 and 7.3 Hz, 1H), 6.99 (d, J=8.4 Hz, 1H), 6.94 (d, J=6.9 Hz, 1H), 6.52 (s, 2H), 2.71-2.47 (m, 3H), 2.08-1.99 (m, 1H), 1.87 (s, 3H); <sup>13</sup>C NMR (DMSO-d<sub>6</sub>) δ 172.48, 172.18, 169.51, 168.06, 146.55, 135.38, 131.80, 121.51, 110.56, 108.30, 58.29, 29.25, 28.63, 21.00; HPLC, Water Nova-Pak/C<sub>18</sub> column, 4 micron, 1 mL/min, 240 nm, 20/80 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq), 5.62 min (99.18%). Anal. Calcd For C<sub>14</sub>H<sub>13</sub>N<sub>3</sub>O<sub>4</sub> : C, 58.53; H, 4.56; N, 14.63. Found : C, 58.60; H, 4.41; N, 14.36.

15

In a similar fashion from 3-(3-nitrophthalimido)-3-ethylpiperidine-2,6-dione and 3-(3-nitrophthalimido)-3-propylpiperidine-2,6-dione there is obtained 3-(3-aminophthalimido)-3-ethylpiperidine-2,6-dione and 3-(3-aminophthalimido)-3-propylpiperidine-2,6-dione, respectively.

**EXAMPLE 11****Methyl 2-bromomethyl-3-nitrobenzoate**

A stirred mixture of methyl 2-methyl-3-nitrobenzoate(17.6 g, 87.1 mmol) and N-bromosuccinimide (18.9 g, 105 mmol) in carbon tetrachloride (243 mL) was heated under gentle reflux with a 100 W light bulb situated 2 cm away shining on the reaction mixture overnight. After 18 hours, the reaction mixture was cooled to room temperature and filtered. The filtrate was washed with water (2 x 120 mL), brine(120 mL), and dried (MgSO<sub>4</sub>). The solvent was removed in vacuo to give a yellow solid.

25

30 The product was purified by flash chromatography (hexane:ethyl acetate 8:2) to give 22 g (93%) of methyl 2-bromomethyl-3-nitrobenzoate as a yellow solid: mp 69-72 °C; <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 8.13-8.09 (dd, J=1.36 and 7.86 Hz, 1H), 7.98-7.93 (dd, J=1.32 and 8.13 Hz, 1H), 7.57-7.51 (t, J=7.97Hz, 1H), 5.16 (s, 2H), 4.0 (s, 3H); <sup>13</sup>C NMR

- 20 -

(CDCl<sub>3</sub>) δ 65.84, 150.56, 134.68, 132.64, 132.36, 129.09, 53.05, 22.70; HPLC : Waters Nova-Pak C<sub>18</sub> column, 4 micron, 1 mL/min, 240nm, 40/60 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq), 8.2 min 99 %. Anal. Calcd for C<sub>9</sub>H<sub>8</sub>NO<sub>4</sub>Br: C, 39.44; H, 2.94; N, 5.11, Br, 29.15. Found: C, 39.51; H, 2.79; N, 5.02; Br, 29.32.

5

#### EXAMPLE 12

##### 3-(1-Oxo-4-nitroisoindolin-1-yl)-3-methylpiperidine-2,6-dione

To a stirred mixture of α-amino-α-methylglutarimide hydrochloride (2.5g, 14.0 mmol) and methyl 2-bromomethyl-3-nitrobenzoate(3.87g, 14.0 mmol in dimethyl-formamide (40 mL) was added triethylamine (3.14g, 30.8 mmol). The resulting 10 mixture was heated to reflux under nitrogen for 6 hours. The mixture was cooled and then concentrated in vacuo. The resulting solid was slurried in water (50 mL) and CH<sub>2</sub>Cl<sub>2</sub> for 30 min. The slurry was filtered, the solid washed with methylene chloride, and dried in vacuo (60°C, <1mm) to afford 2.68 g (63%) of 3-(1-oxo-4-nitroisoindolin-1-yl)-3-methylpiperidine-2,6-dione as a off-white solid : mp 233-235 °C; <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ 10.95 (s, 1H), 8.49-8.46 (d, J=8.15 Hz, 1H), 8.13-8.09 (d, J=7.43 Hz, 1H), 7.86-7.79 (t, J= 7.83 Hz, 1H), 5.22-5.0 (dd, J=19.35 and 34.6 Hz, 2H), 2.77-2.49 (m, 3H), 2.0-1.94 (m, 1H), 1.74 (S, 3H); <sup>13</sup>C NMR (DMSO-d<sub>6</sub>) δ 173.07, 172.27, 164.95, 143.15, 137.36, 135.19, 130.11, 129.32, 126.93, 57.57, 48.69, 28.9, 27.66, 20.6; HPLC, Waters Nova-Pak C<sub>18</sub> column, 4 micron, 1 mL/min, 240nm, 20/80 15 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq), 4.54 min 99.6%. Anal. Calcd for C<sub>14</sub>H<sub>13</sub>N<sub>3</sub>O<sub>5</sub>: C, 55.45; H, 4.32; N, 13.86. Found: C, 52.16; H, 4.59; N, 12.47.

20

25

By substituting equivalent amounts of α-amino-α-ethylglutarimide hydrochloride and α-amino-α-propylglutarimide hydrochloride for α-amino-α-methylglutarimide hydrochloride, there is obtained respectively 3-(1-oxo-4-nitroisoindolin-1-yl)-3-ethylpiperidine-2,6-dione and 3-(1-oxo-4-nitroisoindolin-1-yl)-3-propylpiperidine-2,6-dione.

#### EXAMPLE 13

##### 3-(1-Oxo-4-aminoisoindolin-1-yl)-3-methylpiperidine-2,6-dione

3-(1-Oxo-4-nitroisoindolin-1-yl)-3-methylpiperidine-2,6-dione (1.0 g, 3.3 mmol) 30 was dissolved in methanol (500 mL) with gentle heat and allowed to cool to room temperature. To this solution was added 10% Pd/C (0.3 g) under nitrogen. The mixture was hydrogenated in a Parr apparatus at 50 psi of hydrogen for 4 hours. The

mixture was filtered through Celite and the Celite washed with methanol (50 mL). The filtrate was concentrated in vacuo to an off white solid. The solid was slurried in methylene chloride (20 mL) for 30 min. The slurry was then filtered and the solid dried (60°C, <1 mm) to afford 0.54 g (60%) of 3-(1-oxo-4-aminoisoindolin-1-yl)-3-methylpiperidine-2,6-dione as a white solid: mp 268-270 °C; <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ 10.85 (s, 1H), 7.19-7.13 (t, J=7.63 Hz, 1H), 6.83-6.76 (m, 2H), 5.44 (s, 2H), 4.41(s, 2H), 2.71-2.49 (m, 3H), 1.9-1.8 (m, 1H), 1.67 (s, 3H); <sup>13</sup>C NMR (DMSO-d<sub>6</sub>) δ 173.7, 172.49, 168.0, 143.5, 132.88, 128.78, 125.62, 116.12, 109.92, 56.98, 46.22, 29.04, 27.77, 20.82; HPLC, Waters Nova-Pak/C18 column, 4 micron, 1 mL/min, 240 nm, 10 20/80 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq), 1.5 min (99.6%); Anal. Calcd for C<sub>14</sub>H<sub>15</sub>N<sub>3</sub>O<sub>3</sub> : C, 61.53; H, 5.53; N, 15.38. Found : C, 58.99; H, 5.48; N, 14.29.

From 3-(1-oxo-4-nitroisoindolin-1-yl)-3-ethylpiperidine-2,6-dione and 3-(1-oxo-4-nitroisoindolin-1-yl)-3-propylpiperidine-2,6-dione there is similarly obtained 3-(1-oxo-4-aminoisoindolin-1-yl)-3-ethylpiperidine-2,6-dione and 3-(1-oxo-4-aminoisoindolin-1-yl)-3-propylpiperidine-2,6-dione, respectively.

#### EXAMPLE 14

##### S-4-Amino-2-(2,6-dioxopiperid-3-yl)isoindoline-1,3-dione

###### A. 4-Nitro-N-ethoxycarbonylphthalimide

Ethyl chloroformate (1.89 g, 19.7 mmol) was added dropwise over 10 min to a stirred solution of 3-nitrophthalimide (3.0 g, 15.6 mmol) and triethylamine (1.78 g, 17.6 mmol) in dimethylformamide (20 mL) at 0-5°C under nitrogen. The reaction mixture was allowed to warm to room temperature and stirred for 4 hours. The mixture was then slowly added to an agitated mixture of ice and water (60 mL). The resulting slurry was filtered and the solid was crystallized from chloroform (15 mL) and pet ether (15 mL) to afford 3.1 g (75%) of the product as an off-white solid: mp 100-100.5°C; <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 8.25(d, J=7.5 Hz, 1H), 8.20(d, J=8.0 Hz, 1H), 8.03(t, J=7.9 Hz, 1H), 4.49(q, J=7.1 Hz, 2H), 1.44(t, J=7.2 Hz, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 161.45, 158.40, 147.52, 145.65, 136.60, 132.93, 129.65, 128.01, 122.54, 64.64, 13.92; HPLC, Waters Nova-Pak/C18, 3.9x150 mm, 4 micron, 1 mL/min, 240 nm, 30/70 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq), 5.17 min(98.11%); Anal. Calcd for C<sub>11</sub>H<sub>8</sub>N<sub>2</sub>O<sub>6</sub>: C, 50.00; H, 3.05; N, 10.60. Found : C, 50.13; H, 2.96; N, 10.54.

*B. t-Butyl N-(4-nitrophthaloyl)-L-glutamine*

A stirred mixture of 4-nitro-N-ethoxycarbonylphthalimide (1.0 g, 3.8 mmol), L-glutamine t-butyl ester hydrochloride (0.90 g, 3.8 mmol) and triethylamine (0.54 g, 5.3 mmol) in tetrahydrofuran (30 mL) was heated to reflux for 24 hours. The 5 tetrahydrofuran was removed *in vacuo* and the residue was dissolved in methylene chloride (50 mL). The methylene chloride solution was washed with water (2x15 mL), brine (15 mL) and then dried (sodium sulfate). The solvent was removed *in vacuo* and the residue was purified by flash chromatograph (7:3 methylene chloride:ethyl acetate) to give 0.9 g (63%) of a glassy material:  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  10 8.15(d,  $J=7.9$  Hz, 2H), 7.94(t,  $J=7.8$  Hz, 1H), 5.57(b, 2H), 4.84(dd,  $J=5.1$  and 9.7 Hz, 1H), 2.53-2.30(m, 4H), 1.43(s, 9H); HPLC, Wasters Nova-Pak/C18, 3.9x150 mm, 4 micron, 1 mL/min, 240 nm, 30/70  $\text{CH}_3\text{CN}/0.1\%\text{H}_3\text{PO}_4$ (aq), 6.48 min(99.68%); Chiral Analysis, Daicel Chiral Pak AD, 0.4x25 Cm, 1 mL/min, 240 nm, 5.32 min(99.39%); Anal. Calcd for  $\text{C}_{17}\text{H}_{19}\text{N}_3\text{O}_7$  : C, 54.11; H, 5.08; N, 11.14. Found : C, 54.21; H, 5.08; 15 N, 10.85.

*C. N-(4-Nitrophthaloyl)-L-glutamine*

Hydrogen chloride gas was bubbled into a stirred 5°C solution of t-butyl N-(4-nitrophthaloyl)-L-glutamine (5.7 g, 15.1 mmol) in methylene chloride (100 mL) for 25 min. The mixture was then stirred at room temperature for 16 hours. Ether (50 mL) was added and the resulting mixture was stirred for 30 min. The resulting slurry 20 was filtered to yield 4.5 g of crude product as a solid, which was used directly in the next reaction :  $^1\text{H}$  NMR ( $\text{DMSO-d}_6$ )  $\delta$  8.36(dd,  $J=0.8$  and 8.0 Hz, 1H), 8.24(dd,  $J=0.8$  and 7.5 Hz, 1H), 8.11(t,  $J=7.9$  Hz, 1H), 7.19(b, 1H), 6.72(b, 1H), 4.80(dd,  $J=3.5$  and 8.8 Hz, 1H), 2.30-2.10(m, 4H).

*D. (S)-2-(2,6-dioxo(3-piperidyl))-4-nitroisoindoline-1,3-dione*

A stirred suspension of N-(4-nitrophthaloyl)-L-glutamine (4.3 g, 13.4 mmol) in anhydrous methylene chloride (170 mL) was cooled to -40°C (IPA/dry ice bath). Thionyl chloride (1.03 mL, 14.5 mmol) was added dropwise to the mixture followed by pyridine (1.17 mL, 14.5 mmol). After 30 minutes, triethylamine (2.06 mL, 14.8 mmol) was added and the mixture was stirred at -30 to -40°C for 3 hours. The 30 mixture was allowed to warm to room temperature, filtered and washed with methylene chloride to afford 2.3 g (57%) of the crude product. Recrystallization from acetone (300 mL) afforded 2 g of the product as a white solid : mp 259.0-

284.0°C(dec.);  $^1\text{H}$  NMR (DMSO-d<sub>6</sub>)  $\delta$  11.19(s, 1H), 8.34(d, J=7.8 Hz, 1H), 8.23(d, J=7.1 Hz, 1H), 8.12(t, J=7.8 Hz, 1H), 5.25-5.17(dd, J=5.2 and 12.7 Hz, 1H), 2.97-2.82(m, 1H), 2.64-2.44(m, 2H), 2.08-2.05(m, 1H);  $^{13}\text{C}$  NMR (DMSO-d<sub>6</sub>)  $\delta$  172.67, 169.46, 165.15, 162.50, 144.42, 136.78, 132.99, 128.84, 127.27, 122.53, 49.41, 30.84, 21.71; HPLC, Waters Nova-Pak/C18, 3.9x150 mm, 4 micron, 1mL/min, 240 nm, 10/90 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq) 4.27 min(99.63%); Anal. Calcd for C<sub>13</sub>H<sub>9</sub>N<sub>3</sub>O<sub>6</sub> : C, 51.49; H, 2.99; N, 13.86. Found : C, 51.67; H, 2.93; N, 13.57.

*E. S-4-Amino-2-(2,6-dioxopiperid-3-yl)isoindoline-1,3-dione.*

A mixture of (S)-3-(4'-nitrophthalimido)-piperidine-2,6-dione (0.76 g, 2.5 mmol) and 10%Pd/C (0.3 g) in acetone (200 mL) was hydrogenated in a Parr-Shaker apparatus at 50 psi of hydrogen for 24 hours. The mixture was filtered through celite and the filtrate was concentrated *in vacuo*. The solid residue was slurried in hot ethyl acetate for 30 min and filtered to yield 0.47 g (69%) of the product as a yellow solid : mp 309-310°C;  $^1\text{H}$  NMR (DMSO-d<sub>6</sub>)  $\delta$  11.10 (s, 1H), 7.47(dd, J=7.2 and 8.3 Hz, 1H), 7.04-6.99(dd, J=6.9 and 8.3 Hz, 2H), 6.53(s, 2H), 5.09-5.02(dd, J=5.3 and 12.4 Hz, 1H), 2.96-2.82(m, 1H), 2.62-2.46(m, 2H), 2.09-1.99(m, 1H);  $^{13}\text{C}$  NMR (DMSO-d<sub>6</sub>)  $\delta$  172.80, 170.10, 168.57, 167.36, 146.71, 135.44, 131.98, 121.69, 110.98, 108.54, 48.48, 30.97, 22.15; HPLC, Waters Nova-Pak/C18, 3.9x150 mm, 4 micron, 1 mL/min, 240 nm, 15/85 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq) 4.99 min(98.77%); Chiral analysis, Daicel Chiral Pak AD, 0.46x25 cm, 1 mL/min, 240 nm, 30/70 Hexane/IPA 9.55 min (1.32%), 12.55 min (97.66%); Anal. Calcd for C<sub>13</sub>H<sub>11</sub>N<sub>3</sub>O<sub>4</sub> : C, 57.14; H, 4.06; N, 15.38. Found : C, 57.15; H, 4.15; N, 14.99.

**EXAMPLE 15**

**R-4-Amino-2-(2,6-dioxopiperid-3-yl)isoindoline-1,3-dione**

*25 A. t-Butyl N-(4-nitrophthaloyl)-D-glutamine*

A stirred mixture of 4-nitro-N-ethoxycarbonyl-phthalimide (5.9 g, 22.3 mmol), D-glutamine t-butyl ester (4.5 g, 22.3 mmol) and triethylamine (0.9 g, 8.9 mmol) in tetrahydrofuran (100 mL) was refluxed for 24 hours. The mixture was diluted with methylene chloride (100 mL) and washed with water (2 x 50 mL), brine (50 mL) and then dried. The solvent was removed *in vacuo* and the residue was purified by flash chromatography (2% CH<sub>3</sub>OH in methylene chloride) to afford 6.26 g (75%) of the product as a glassy material :  $^1\text{H}$  NMR (CDCl<sub>3</sub>)  $\delta$  8.12(d, J=7.5 Hz, 2H),

7.94(dd, J=7.9 and 9.1 Hz, 1H), 5.50(b, 1H), 5.41(b, 1H), 4.85(dd, J=5.1 and 9.8 Hz, 1H), 2.61-2.50(m, 2H), 2.35-2.27(m, 2H), 1.44(s, 9H);  $^{13}\text{C}$  NMR (CDCl<sub>3</sub>)  $\delta$  173.77, 167.06, 165.25, 162.51, 145.07, 135.56, 133.78, 128.72, 127.27, 123.45, 83.23, 53.18, 32.27, 27.79, 24.42; HPLC, Waters Nova-Pak/C18, 3.9x150 mm, 4 micron, 1 mL/min, 240 nm, 25/75 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq) 4.32 min(99.74%); Chiral analysis, Daicel Chiral Pak AD, 0.46x25 cm, 1 mL/min, 240 nm, 55/45 Hexane/IPA 5.88 min(99.68%); Anal. Calcd for C<sub>17</sub>H<sub>19</sub>N<sub>3</sub>O<sub>7</sub> : C, 54.11; H, 5.08; N, 11.14. Found : C, 54.25; H, 5.12; N, 10.85.

*B. N-(4-Nitrophthaloyl)-D-glutamine*

Hydrogen chloride gas was bubbled into a stirred 5°C solution of t-butyl N-(4-nitrophthaloyl)-D-glutamine (5.9 g, 15.6 mmol) in methylene chloride (100 mL) for 1 hour then stirred at room temperature for another hour. Ether (100 mL) was added and stirred for another 30 minutes. The mixture was filtered, the solid was washed with ether (60 mL) and dried (40°C, <1mm Hg) to afford 4.7 g (94%) of the product:  $^1\text{H}$  NMR (DMSO-d<sub>6</sub>)  $\delta$  8.33(d, J=7.8 Hz, 1H), 8.22(d, J=7.2 Hz, 1H), 8.11(t, J=7.8 Hz, 1H), 7.19(b, 1H), 6.72(b, 1H), 4.81(dd, J=4.6 and 9.7 Hz, 1H), 2.39-2.12(m, 4H);  $^{13}\text{C}$  NMR (DMSO-d<sub>6</sub>)  $\delta$  173.21, 169.99, 165.41, 162.73, 144.45, 136.68, 132.98, 128.80, 127.23, 122.52, 51.87, 31.31, 23.87.

*C. (R)-2-(2,6-dioxo(3-piperidyl))-4-nitroisoindoline-1,3-dione.*

A stirred suspension of N-(4'-nitrophthaloyl)-D-glutamine (4.3 g, 13.4 mmol) in anhydrous methylene chloride (170 mL) was cooled to -40°C with isopropanol/dry ice bath. Thionyl chloride (1.7 g, 14.5 mmol) was added dropwise followed by pyridine (1.2 g, 14.5 mmol). After 30 min, triethylamine (1.5 g, 14.8 mmol) was added and the mixture was stirred at -30 to -40°C for 3 hours. The mixture was filtered, the solid washed with methylene chloride (50 mL) and dried (60°C, <1mm Hg) to give 2.93 g of the product. Another 0.6 g of the product was obtained from the methylene chloride filtrate. Both fractions were combined (3.53 g) and recrystallized from acetone (450 mL) to afford 2.89 g (71%) of the product as a white solid: mp 256.5-257.5°C;  $^1\text{H}$  NMR (DMSO-d<sub>6</sub>)  $\delta$  11.18(s, 1H), 8.34(dd, J=0.8 and 7.9 Hz, 1H), 8.23(dd, J=0.8 and 7.5 Hz, 1H), 8.12(t, J=7.8 Hz, 1H), 5.22(dd, J=5.3 and 12.8 Hz, 1H), 2.97-2.82(m, 1H), 2.64-2.47(m, 2H), 2.13-2.04(m, 1H);  $^{13}\text{C}$  NMR (DMSO-d<sub>6</sub>)  $\delta$  172.66, 169.44, 165.14, 162.48, 144.41, 136.76, 132.98, 128.83, 127.25, 122.52, 49.41, 30.83, 21.70; HPLC, Waters Nova-Pak/C18, 3.9x150 mm, 4

micron, 1 mL/min, 240 nm, 10/90 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq) 3.35 min(100%); Anal. Calcd for C<sub>13</sub>H<sub>9</sub>N<sub>3</sub>O<sub>6</sub> : C, 51.49; H, 2.99; N, 13.86. Found : C, 51.55; H, 2.82; N, 13.48.

*D. (R)-4-Amino-2-(2,6-dioxopiperid-3-yl)isoindoline-1,3-dione*

5 A mixture of R-3-(4'-nitrophthalimido)-piperidine-2,6-dione (1.0 g, 3.3 mmol) and 10% Pd/C (0.2 g) in acetone (250 mL) was hydrogenated in a Parr-Shaker apparatus at 50 psi of hydrogen for 4 hours. The mixture was filtered through celite and the filtrate was concentrated *in vacuo*. The resulting yellow solid was slurried in hot ethyl acetate (20 mL) for 30 min to give after filtration and drying 0.53 g (59%) of  
10 the product as a yellow solid : mp 307.5-309.5°C; <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ 11.06(s, 1H), 7.47(dd, J=7.0 and 8.4 Hz, 1H), 7.02(dd, J=4.6 and 8.4 Hz, 2H), 6.53(s, 2H), 5.07(dd, J=5.4 and 12.5 Hz, 1H), 2.95-2.84(m, 1H), 2.62-2.46(m, 2H), 2.09-1.99(m, 1H); <sup>13</sup>C NMR (DMSO-d<sub>6</sub>) δ 172.78, 170.08, 168.56, 167.35, 146.70, 135.43, 131.98, 121.68, 110.95, 108.53, 48.47, 30.96, 22.14; HPLC, Waters Nove-Pak/C18, 3.9x150  
15 mm, 4 micron, 1 mL/min, 240 nm, 10/90 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq) 3.67 min(99.68%); Chiral analysis, Daicel Chiral Pak AD, 0.46x25 cm, 1 mL/min, 240 nm, 30/70 Hexane/ IPA 7.88min (97.48%); Anal. Calcd for C<sub>13</sub>H<sub>11</sub>N<sub>3</sub>O<sub>4</sub> : C, 57.14; H, 4.06; N, 15.38. Found : C, 57.34; H, 3.91; N, 15.14.

**EXAMPLE 16**

20 **3-(4-Amino-1-oxoisoindolin-2-yl)piperidine-2,6-dione**

*A. Methyl 2-bromomethyl-3-nitrobenzoate*

25 A stirred mixture of methyl 2-methyl-3-nitrobenzoate (14.0 g, 71.7 mmol) and N-bromosuccinimide (15.3 g, 86.1 mmol) in carbon tetrachloride (200 mL) was heated under gentle reflux for 15 hours while a 100W bulb situated 2 cm away was  
shining on the flask. The mixture was filtered and the solid was washed with  
methylene chloride (50 mL). The filtrate was washed with water (2x100 mL), brine  
(100 mL) and dried. The solvent was removed *in vacuo* and the residue was purified  
by flash chromatography (hexane/ethyl acetate, 8/2) to afford 19 g (96%) of the  
product as a yellow solid : mp 70.0-71.5°C; <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 8.12-8.09(dd, J=1.3  
and 7.8 Hz, 1H), 7.97-7.94(dd, J=1.3 and 8.2 Hz, 1H), 7.54(t, J=8.0 Hz, 1H), 5.15(s,  
2H), 4.00(s, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 165.85, 150.58, 134.68, 132.38, 129.08,  
127.80, 53.06, 22.69; HPLC, Water Nove-Pak/C18, 3.9x150 mm, 4 micron, 1

mL/min, 240 nm, 40/60 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq) 7.27 min(98.92%); Anal. Calcd for C<sub>9</sub>H<sub>8</sub>NO<sub>4</sub>Br : C, 39.44; H, 2.94; N, 5.11; Br, 29.15. Found : C, 39.46; H, 3.00; N, 5.00; Br, 29.11.

*B. t-Butyl N-(1-oxo-4-nitroisoindolin-2-yl)-L-glutamine*

5       Triethylamine (2.9 g, 28.6 mmol) was added dropwise to a stirred mixture of methyl 2-bromomethyl-3-nitrobenzoate (3.5 g, 13.0 mmol) and L-glutamine t-butyl ester hydrochloride (3.1 g, 13.0 mmol) in tetrahydrofuran (90 mL). The mixture was heated to reflux for 24 hours. To the cooled mixture was added methylene chloride (150 mL) and the mixture was washed with water (2 x 40 mL), brine (40 mL) and 10 dried. The solvent was removed *in vacuo* and the residue was purified by flash chromatography (3% CH<sub>3</sub>OH in methylene chloride) to afford 2.84 g (60%) of crude product which was used directly in the next reaction: <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 8.40(d, J=8.1 Hz, 1H), 8.15(d, J=7.5 Hz, 1H), 7.71(t, J=7.8 Hz, 1H), 5.83(s, 1H), 5.61(s, 1H), 5.12(d, J=19.4 Hz, 1H), 5.04-4.98(m, 1H), 4.92(d, J=19.4 Hz, 1H), 2.49-2.22(m, 4H), 15 1.46(s, 9H); HPLC, Waters Nova-Pak/C18, 3.9x150 mm, 4 micron, 1 mL/min, 240 nm, 25/75 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq) 6.75 min(99.94%).

*C. N-(1-Oxo-4-nitroisoindolin-2-yl)-L-glutamine*

Hydrogen chloride gas was bubbled into a stirred 5°C solution of *t*-butyl N-(1-oxo-4-nitro-isoindolin-2-yl)-L-glutamine (3.6 g, 9.9 mmol) in methylene chloride (60 20 mL) for 1 hour. The mixture was then stirred at room temperature for another hour. Ether (40 mL) was added and the resulting mixture was stirred for 30 minutes. The slurry was filtered, washed with ether and dried to afford 3.3 g of the product : <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ 8.45(d, J=8.1 Hz, 1H), 8.15(d, J=7.5 Hz, 1H), 7.83(t, J=7.9 Hz, 1H), 7.24(s, 1H), 6.76(s, 1H), 4.93(s, 2H), 4.84-4.78(dd, J=4.8 and 10.4 Hz, 1H), 25 2.34-2.10(m, 4H); <sup>13</sup>C NMR (DMSO-d<sub>6</sub>) δ 173.03, 171.88, 165.96, 143.35, 137.49, 134.77, 130.10, 129.61, 126.95, 53.65, 48.13, 31.50, 24.69; Anal. Calcd for C<sub>13</sub>H<sub>13</sub>N<sub>3</sub>O<sub>6</sub> : C, 50.82; H, 4.26; N, 13.68. Found : C, 50.53; H, 4.37; N, 13.22.

*D. (S)-3-(1-Oxo-4-nitroisoindolin-2-yl)piperidine-2,6-dione*

A stirred suspension mixture of N-(1-oxo-4-nitroisoindolin-2-yl)-L-glutamine 30 (3.2 g, 10.5 mmol) in anhydrous methylene chloride (150 mL) was cooled to -40°C with isopropanol/dry ice bath. Thionyl chloride (0.82 mL, 11.3 mmol) was added dropwise to the cooled mixture followed by pyridine (0.9 g, 11.3 mmol). After 30

min, triethylamine (1.2 g, 11.5 mmol) was added and the mixture was stirred at -30 to -40°C for 3 hours. The mixture was poured into ice water (200 mL) and the aqueous layer was extracted with methylene chloride (40 mL). The methylene chloride solution was washed with water (2 x 60 mL), brine (60 mL) and dried. The solvent was removed *in vacuo* and the solid residue was slurried with ethyl acetate (20 mL) to give 2.2 g (75%) of the product as a white solid: mp 285°C; <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ 11.04(s, 1H), 8.49-8.45(dd, J=0.8 and 8.2 Hz, 1H), 8.21-8.17(dd, J=7.3 Hz, 1H), 7.84(t, J=7.6 Hz, 1H), 5.23-5.15(dd, J=4.9 and 13.0 Hz, 1H), 4.96(dd, J=19.3 and 32.4 Hz, 2H), 3.00-2.85(m, 1H), 2.64-2.49(m, 2H), 2.08-1.98(m, 1H); <sup>13</sup>C NMR (DMSO-d<sub>6</sub>) δ 172.79, 170.69, 165.93, 143.33, 137.40, 134.68, 130.15, 129.60, 127.02, 51.82, 48.43, 31.16, 22.23; HPLC, Waters Nove-Pak/C18, 3.9x150 mm, 4 micron, 1 mL/min, 240 nm, 20/80 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq) 3.67 min(100%); Anal. Calcd for C<sub>13</sub>H<sub>11</sub>N<sub>3</sub>O<sub>5</sub> : C, 53.98; H, 3.83; N, 14.53. Found : C, 53.92; H, 3.70; N, 14.10.

*E. (S)-3-(1-Oxo-4-aminoisoindolin-2-yl)piperidine-2,6 dione*

15 A mixture of (S)-3-(1-oxo-4-nitroisoindolin-2-yl)piperidine-2,6-dione (1.0 g, 3.5 mmol) and 10% Pd/c (0.3 g) in methanol (600 mL) was hydrogenated in a Parr-Shaker apparatus at 50 psi of hydrogen for 5 hours. The mixture was filtered through Celite and the filtrate was concentrated *in vacuo*. The solid was slurried in hot ethyl acetate for 30 min, filtered and dried to afford 0.46 g (51%) of the product as a white solid: mp 235.5-239°C; <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ 11.01(s, 1H), 7.19(t, J=7.6 Hz, 1H), 6.90(d, J=7.3 Hz, 1H), 6.78(d, J=7.8 Hz, 1H), 5.42(s, 2H), 5.12(dd, J=5.1 and 13.1 Hz, 1H), 4.17(dd, J=17.0 and 28.8 Hz, 2H), 2.92-2.85(m, 1H), 2.64-2.49(m, 1H), 2.34-2.27(m, 1H), 2.06-1.99(m, 1H); <sup>13</sup>C NMR (DMSO-d<sub>6</sub>) δ 172.85, 171.19, 168.84, 143.58, 132.22, 128.79, 125.56, 116.37, 110.39, 51.48, 45.49, 31.20, 22.74; HPLC, 20 Waters Nova-Pak/C18, 3.9x150 mm, 4 micron, 1 mL/min, 240 nm, 10/90 CH<sub>3</sub>CN/0.1%H<sub>3</sub>PO<sub>4</sub>(aq) 0.96 min(100%); Chiral analysis, Daicel Chiral Pak AD, 40/60 Hexane/IPA, 6.60 min(99.42%); Anal. Calcd for C<sub>13</sub>H<sub>13</sub>N<sub>3</sub>O<sub>3</sub> : C, 60.23; H, 5.05; N, 16.21. Found : C, 59.96; H, 4.98; N, 15.84.

**EXAMPLE 17****3-(4-Amino-1-oxoisindolin-2-yl)-3-methylpiperidine-2,6-dione****A. N-Benzylloxycarbonyl-3-amino-3-methylpiperidine-2,6-dione**

A stirred mixture of N-benzylloxycarbonyl- $\alpha$ -methyl-isoglutamine (11.3 g, 38.5 mmol), 1,1'-carbonyldiimidazole (6.84 g, 42.2 mmol) and 4-dimethylaminopyridine (0.05 g) in tetrahydrofuran (125 mL) was heated to reflux under nitrogen for 19 hours. The reaction mixture was concentrated in vacuo to an oil. The oil was slurried in water (50 mL) for 1 hour then filtered, washed with water, air dried to afford 7.15 g of white solid. The crude product was purified by flash chromatography (2:8 ethyl acetate:methylene chloride) to afford 6.7 g (63%) of the product as a white solid : mp 151-152 °C;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  8.24 (s, 1H), 7.35 (s, 5H), 5.6 (s, 1H), 5.09 (s, 2H), 2.82-2.53 (m, 3H), 2.33-2.26 (m, 1H), 1.56 (s, 3H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ )  $\delta$  174.4, 172.4, 154.8, 136.9, 128.3, 127.8, 127.7, 65.3, 54.6, 29.2, 29.0, 22.18; HPLC : Waters Nova-Pak/C<sub>18</sub> column, 4 micron, 3.9x150 mm, 1ml/min, 240nm, 20/80  $\text{CH}_3\text{CN}/\text{H}_3\text{PO}_{4\text{(aq)}}$ , 6.6 min, 100%). Anal. Calcd for  $\text{C}_{14}\text{H}_{16}\text{N}_2\text{O}_4$ . Theory: C, 60.86; H, 5.84; N, 10.14. Found: C, 60.94; H, 5.76; N, 10.10.

**B. 3-Amino-3-methylpiperidine-2,6-dione.**

N-benzylloxycarbonyl-3-amino-3-methylpiperidine-2,6-dione (3.0 g, 10.9 mmol) was dissolved in ethanol (270 mL) with gentle heat and then cooled to room temperature. To this solution was added 4 N HCl (7 mL) followed by 10% Pd/C (0.52 g). The mixture was hydrogenated under 50 psi of hydrogen for 3 hours. To the mixture was then added water (65 mL) to dissolve the product. The mixture was filtered through a celite pad and the celite pad washed with water (100 mL). The filtrate was concentrated in vacuo to a solid residue. This solid was slurried in ethanol (50 mL) for 30 min. The slurry was filtered to afford 3.65 g (94%) of the product as a white solid:  $^1\text{H}$  NMR ( $\text{DMSO-d}_6$ )  $\delta$  11.25 (s, 1H), 8.9 (s, 3H), 2.87-2.57 (m, 2H), 2.35-2.08 (m, 2H), 1.54 (s, 3H); HPLC (Waters Nova-Pak/C<sub>18</sub> column, 4 micron, 1 ml/min, 240 nm, 15/85  $\text{CH}_3\text{CN}/\text{H}_3\text{PO}_{4\text{(aq)}}$ , 1.07 min, 100%).

**C. 3-Methyl-3-(4-nitro-1-oxoisindolin-2-yl)piperidine-2,6-dione**

To a stirred mixture of  $\alpha$ -amino- $\alpha$ -methyl-glutarimide hydrochloride (2.5 g, 14.0 mmol) and methyl 2-bromomethyl-3-nitro benzoate (3.87 g, 14 mmol in dimethylformamide (40 mL) was added triethylamine (3.14 g, 30.8 mmol) under nitrogen. The mixture was heated to reflux for 6 hours. The mixture was cooled and

then concentrated in vacuo. The solid residue was slurried in water (50 mL) and methylene chloride for 30min. The slurry was filtered and the solid washed with methylene chloride and dried (60 °C, <1mm). Recrystallization from methanol (80 mL) yielded 0.63g (15%) of the product as an off white solid: mp 195-197°C; <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ 10.95 (s, 1H), 8.49-8.46 (d, J = 8.2 Hz, 1H), 8.13-8.09 (d, J = 7.4 Hz, 1H), 7.86-7.79 (t, J = 7.8 Hz, 1H), 5.22-5.0 (dd, J = 19.4 and 34.6 Hz, 2H), 2.77-2.49 (m, 3H), 2.0-1.94 (m, 1H), 1.74 (S, 3H); <sup>13</sup>C NMR (DMSO-d<sub>6</sub>) δ 173.1, 172.3, 165.0, 143.2, 137.4, 135.2, 130.1, 129.3, 126.9, 57.6, 48.7, 28.9, 27.7, 20.6; HPLC (Waters Nova-Pak/C<sub>18</sub> column, 4micron, 1 ml/min, 240nm, 20/80 CH<sub>3</sub>CN/H<sub>3</sub>PO<sub>4(aq)</sub>), 4.54 min, 99.6%); Anal Calcd. For C<sub>14</sub>H<sub>13</sub>N<sub>3</sub>O<sub>5</sub>; C, 55.45; H, 4.32; N, 13.86. Found: C, 55.30; H, 4.48; N, 13.54.

#### D. 3-Methyl-3-(4-amino-1-oxoisindolin-2-yl)piperidine-2,6-dione.

3-Methyl-3-(4-nitro-1-oxoisindolin-2-yl)piperidine-2,6-dione (1.0 g, 3.3 mmol) was dissolved in methanol (500 mL) with gentle heat and then cooled to room 15 temperature. To this solution was added 10% Pd/C (0.3 g) under nitrogen. The mixture was hydrogenated in a Parr-Shaker apparatus at 50 psi of hydrogen for 4 hours. The mixture was filtered through celite pad and the celite pad washed with methanol (50 mL). The filtrate was concentrated in vacuo to a off white solid. The solid was slurried in methylene chloride (20 mL) for 30 min. The slurry was filtered 20 and the solid dried (60°C, <1 mm). The solid was to recrystallized from methanol (3 times, 100 mL/time) to yield 0.12 g (13.3%) of the product as a white solid: mp 289-292°C; <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ 10.85 (s, 1H), 7.19-7.13 (t, J = 7.6 Hz, 1H), 6.83-6.76 (m, 2H), 5.44 (s, 2H), 4.41(s, 2H), 2.71-2.49 (m, 3H), 1.9-1.8 (m, 1H), 1.67 (s, 3H); <sup>13</sup>C NMR (DMSO-d<sub>6</sub>) δ 173.7, 172.5, 168.0, 143.5, 132.9, 128.8, 125.6, 116.1, 109.9, 25 57.0, 46.2, 29.0, 27.8, 20.8; HPLC (Waters Nova-Pak/C<sub>18</sub> column, 4 micron, 1 ml/min, 240 nm, 20/80 CH<sub>3</sub>CN/H<sub>3</sub>PO<sub>4(aq)</sub>, 1.5 min, 99.6%); Anal. Calcd. For C<sub>14</sub>H<sub>15</sub>N<sub>3</sub>O<sub>3</sub>; C, 61.53; H, 5.53; N, 15.38. Found: C, 61.22; H, 5.63; N, 15.25.

#### EXAMPLE 18

Tablets, each containing 50 mg of 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl)-5-aminoisindoline, can be prepared in the following manner:

- 30 -

Constituents (for 1000 tablets)

5	1,3-dioxo-2-(2,6-dioxo-piperidin-3-yl)-5-amino-isoindoline .....	50.0 g
	lactose .....	50.7 g
	wheat starch .....	7.5 g
	polyethylene glycol 6000.....	5.0 g
	talc.....	5.0 g
10	magnesium stearate.....	1.8 g
	demineralized water.....	q.s.

The solid ingredients are first forced through a sieve of 0.6 mm mesh width. The active ingredient, lactose, talc, magnesium stearate and half of the starch then are mixed. The other half of the starch is suspended in 40 mL of water and this suspension is added to a boiling solution of the polyethylene glycol in 100 mL of water. The resulting paste is added to the pulverulent substances and the mixture is granulated, if necessary with the addition of water. The granulate is dried overnight at 35°C, forced through a sieve of 1.2 mm mesh width and compressed to form tablets of approximately 6 mm diameter which are concave on both sides.

***EXAMPLE 19***

Tablets, each containing 100 mg of 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl)-5-aminoisoindoline, can be prepared in the following manner:

Constituents (for 1000 tablets)

25	1,3-dioxo-2-(2,6-dioxo-piperidin-3-yl)-5-amino-isoindoline .....	100.0 g
	lactose .....	100.0 g
30	wheat starch .....	47.0 g
	magnesium stearate.....	3.0 g

All the solid ingredients are first forced through a sieve of 0.6 mm mesh width. The active ingredient, lactose, magnesium stearate and half of the starch then are mixed. The other half of the starch is suspended in 40 mL of water and this suspension is added to 100 mL of boiling water. The resulting paste is added to the pulverulent substances and the mixture is granulated, if necessary with the addition of water. The granulate is dried overnight at 35°C, forced through a sieve of 1.2 mm

mesh width and compressed to form tablets of approximately 6 mm diameter which are concave on both sides.

#### ***EXAMPLE 20***

Tablets for chewing, each containing 75 mg of 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4-aminoisoindoline, can be prepared in the following manner:

<u>Composition (for 1000 tablets)</u>		
10	1-oxo-2-(2,6-dioxo-piperidin-3-yl)-4-amino-isoindoline .....	75.0 g
	mannitol .....	230.0 g
	lactose .....	150.0 g
	talc.....	21.0 g
15	glycine.....	12.5 g
	stearic acid .....	10.0 g
	saccharin .....	1.5 g
	5% gelatin solution .....	q.s.

All the solid ingredients are first forced through a sieve of 0.25 mm mesh width. The mannitol and the lactose are mixed, granulated with the addition of gelatin solution, forced through a sieve of 2 mm mesh width, dried at 50°C and again forced through a sieve of 1.7 mm mesh width. 1-Oxo-2-(2,6-dioxopiperidin-3-yl)-4-amino-isoindoline, the glycine and the saccharin are carefully mixed, the mannitol, the lactose granulate, the stearic acid and the talc are added and the whole is mixed thoroughly and compressed to form tablets of approximately 10 mm diameter which are concave on both sides and have a breaking groove on the upper side.

#### ***EXAMPLE 21***

Tablets, each containing 10 mg of 1-oxo-2-(2,6-dioxopiperidin-3-yl)-5-amino-isoindoline, can be prepared in the following manner:

Composition (for 1000 tablets)

5	1-oxo-2-(2,6-dioxo-piperidin-3-yl)-5-amino-isoindoline .....	10.0 g
	lactose .....	328.5 g
	corn starch.....	17.5 g
	polyethylene glycol 6000.....	5.0 g
10	talc.....	25.0 g
	magnesium stearate.....	4.0 g
	demineralized water.....	q.s.

The solid ingredients are first forced through a sieve of 0.6 mm mesh width. Then the active imide ingredient, lactose, talc, magnesium stearate and half of the starch are intimately mixed. The other half of the starch is suspended in 65 mL of water and this suspension is added to a boiling solution of the polyethylene glycol in 260 mL of water. The resulting paste is added to the pulverulent substances, and the whole is mixed and granulated, if necessary with the addition of water. The granulate is dried overnight at 35°C, forced through a sieve of 1.2 mm mesh width and compressed to form tablets of approximately 10 mm diameter which are concave on both sides and have a breaking notch on the upper side.

***EXAMPLE 22***

Gelatin dry-filled capsules, each containing 100 mg of 1-oxo-2-(2,6-dioxopiperidin-3-yl)-6-aminoisoindoline, can be prepared in the following manner:

25       Composition (for 1000 capsules)

30	1-oxo-2-(2,6-dioxo-piperidin-3-yl)-6-amino-isoindoline .....	100.0 g
	microcrystalline cellulose .....	30.0 g
	sodium lauryl sulfate.....	2.0 g
	magnesium stearate.....	8.0 g

The sodium lauryl sulfate is sieved into the 1-oxo-2-(2,6-dioxopiperidin-3-yl)-6-aminoisoindoline through a sieve of 0.2 mm mesh width and the two components are intimately mixed for 10 minutes. The microcrystalline cellulose is then added through a sieve of 0.9 mm mesh width and the whole is again intimately mixed for 10 minutes. Finally, the magnesium stearate is added through a sieve of 0.8 mm width

and, after mixing for a further 3 minutes, the mixture is introduced in portions of 140 mg each into size 0 (elongated) gelatin dry-fill capsules.

#### EXAMPLE 23

5 A 0.2% injection or infusion solution can be prepared, for example, in the following manner:

10 1-oxo-2-(2,6-dioxo-piperidin-3-yl)-7-amino-isoindoline ..... 5.0 g  
sodium chloride ..... 22.5 g  
phosphate buffer pH 7.4 ..... 300.0 g  
demineralized water ..... to 2500.0 mL

15 1-Oxo-2-(2,6-dioxopiperidin-3-yl)-7-aminoisoindoline is dissolved in 1000 mL of water and filtered through a microfilter. The buffer solution is added and the whole is made up to 2500 mL with water. To prepare dosage unit forms, portions of 1.0 or 2.5 mL each are introduced into glass ampoules (each containing respectively 2.0 or 5.0 mg of imide).

#### EXAMPLE 24

20 Tablets, each containing 50 mg of 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline, can be prepared in the following manner:

##### Constituents (for 1000 tablets)

25 1-oxo-2-(2,6-dioxo-piperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline ..... 50.0 g  
lactose ..... 50.7 g  
wheat starch ..... 7.5 g  
polyethylene glycol 6000 ..... 5.0 g  
talc ..... 5.0 g  
30 magnesium stearate ..... 1.8 g  
demineralized water ..... q.s.

The solid ingredients are first forced through a sieve of 0.6 mm mesh width. The active ingredient, lactose, talc, magnesium stearate and half of the starch then are mixed. The other half of the starch is suspended in 40 mL of water and this suspen-

5 sion is added to a boiling solution of the polyethylene glycol in 100 mL of water. The resulting paste is added to the pulverulent substances and the mixture is granulated, if necessary with the addition of water. The granulate is dried overnight at 35°C, forced through a sieve of 1.2 mm mesh width and compressed to form tablets of approximately 6 mm diameter which are concave on both sides.

#### ***EXAMPLE 25***

Tablets, each containing 100 mg of 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetrachloroisoindoline, can be prepared in the following manner:

10 **Constituents (for 1000 tablets)**

10	1-oxo-2-(2,6-dioxopiperidin-3-yl)- 4,5,6,7-tetrachloroisoindoline.....	100.0 g
	lactose .....	100.0 g
	wheat starch .....	47.0 g
15	magnesium stearate.....	3.0 g

20 All the solid ingredients are first forced through a sieve of 0.6 mm mesh width. The active ingredient, lactose, magnesium stearate and half of the starch then are mixed. The other half of the starch is suspended in 40 mL of water and this suspension is added to 100 mL of boiling water. The resulting paste is added to the pulverulent substances and the mixture is granulated, if necessary with the addition of water. The granulate is dried overnight at 35°C, forced through a sieve of 1.2 mm mesh width and compressed to form tablets of approximately 6 mm diameter which are concave on both sides.

25

#### ***EXAMPLE 26***

Tablets for chewing, each containing 75 mg of 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline, can be prepared in the following manner:

Composition (for 1000 tablets)

5	1-oxo-2-(2,6-dioxo-piperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline.....	75.0 g
	mannitol.....	230.0 g
	lactose .....	150.0 g
	talc.....	21.0 g
10	glycine.....	12.5 g
	stearic acid .....	10.0 g
	saccharin .....	1.5 g
	5% gelatin solution .....	q.s.

All the solid ingredients are first forced through a sieve of 0.25 mm mesh width. The mannitol and the lactose are mixed, granulated with the addition of gelatin solution, forced through a sieve of 2 mm mesh width, dried at 50°C and again forced through a sieve of 1.7 mm mesh width. 1-Oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline, the glycine and the saccharin are carefully mixed, the mannitol, the lactose granulate, the stearic acid and the talc are added and the whole is mixed thoroughly and compressed to form tablets of approximately 10 mm diameter which are concave on both sides and have a breaking groove on the upper side.

**EXAMPLE 27**

Tablets, each containing 10 mg of 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetramethylisoindoline, can be prepared in the following manner:

25 Composition (for 1000 tablets)

25	1-oxo-2-(2,6-dioxo-piperidin-3-yl)-4,5,6,7-tetramethylisoindoline .....	10.0 g
30	lactose .....	328.5 g
	corn starch.....	17.5 g
	polyethylene glycol 6000.....	5.0 g
	talc.....	25.0 g
35	magnesium stearate.....	4.0 g
	demineralized water.....	q.s.

The solid ingredients are first forced through a sieve of 0.6 mm mesh width. Then the active imide ingredient, lactose, talc, magnesium stearate and half of the starch are intimately mixed. The other half of the starch is suspended in 65 mL of water and this suspension is added to a boiling solution of the polyethylene glycol in

260 mL of water. The resulting paste is added to the pulverulent substances, and the whole is mixed and granulated, if necessary with the addition of water. The granulate is dried overnight at 35°C, forced through a sieve of 1.2 mm mesh width and compressed to form tablets of approximately 10 mm diameter which are concave on both 5 sides and have a breaking notch on the upper side.

#### ***EXAMPLE 28***

Gelatin dry-filled capsules, each containing 100 mg of 1-oxo-2-(2,6-dioxo-piperidin-3-yl)-4,5,6,7-tetramethoxyisoindoline, can be prepared in the following manner:

10                   Composition (for 1000 capsules)

15	1-oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetramethoxyisoindoline .....	100.0 g
	microcrystalline cellulose .....	30.0 g
	sodium lauryl sulfate.....	2.0 g
	magnesium stearate.....	8.0 g

20                   The sodium lauryl sulfate is sieved into the 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetramethoxyisoindoline through a sieve of 0.2 mm mesh width and the two components are intimately mixed for 10 minutes. The microcrystalline cellulose is then added through a sieve of 0.9 mm mesh width and the whole is again intimately mixed for 10 minutes. Finally, the magnesium stearate is added through a sieve of 0.8 mm width and, after mixing for a further 3 minutes, the mixture is introduced in 25 portions of 140 mg each into size 0 (elongated) gelatin dry-fill capsules.

#### ***EXAMPLE 30***

A 0.2% injection or infusion solution can be prepared, for example, in the following manner:

5                   1-oxo-2-(2,6-dioxopiperidin-3-yl)-  
4,5,6,7-tetrafluoroisoindoline ..... 5.0 g  
sodium chloride ..... 22.5 g  
phosphate buffer pH 7.4 ..... 300.0 g  
demineralized water ..... to 2500.0 mL

10                  1-Oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline is dissolved in 1000 mL of water and filtered through a microfilter. The buffer solution is added and the whole is made up to 2500 mL with water. To prepare dosage unit forms, portions of 1.0 or 2.5 mL each are introduced into glass ampoules (each containing respectively 2.0 or 5.0 mg of imide).

#### ***EXAMPLE 31***

Tablets, each containing 50 mg of 1-oxo-2-(2,6-dioxo-3-methylpiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline, can be prepared in the following manner:

15                  Constituents (for 1000 tablets)

20                  1-oxo-2-(2,6-dioxo-3-methylpiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline ..... 50.0 g  
lactose ..... 50.7 g  
wheat starch ..... 7.5 g  
polyethylene glycol 6000 ..... 5.0 g  
talc ..... 5.0 g  
25                  magnesium stearate ..... 1.8 g  
demineralized water ..... q.s.

30                  The solid ingredients are first forced through a sieve of 0.6 mm mesh width. The active ingredient, lactose, talc, magnesium stearate and half of the starch then are mixed. The other half of the starch is suspended in 40 mL of water and this suspension is added to a boiling solution of the polyethylene glycol in 100 mL of water. The resulting paste is added to the pulverulent substances and the mixture is granulated, if necessary with the addition of water. The granulate is dried overnight at 35°C, forced through a sieve of 1.2 mm mesh width and compressed to form tablets of approximately 6 mm diameter which are concave on both sides.

**EXAMPLE 32**

Tablets, each containing 100 mg of 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4-aminoisoindoline, can be prepared in the following manner:

		<u>Constituents</u> (for 1000 tablets)
5		1-oxo-2-(2,6-dioxo-piperidin-3-yl)-4-aminoisoindoline .....
		100.0 g
10		lactose .....
		100.0 g
		wheat starch .....
		47.0 g
		magnesium stearate..... 3.0 g

All the solid ingredients are first forced through a sieve of 0.6 mm mesh width. The active ingredient, lactose, magnesium stearate and half of the starch then are mixed. The other half of the starch is suspended in 40 mL of water and this suspension is added to 100 mL of boiling water. The resulting paste is added to the pulverulent substances and the mixture is granulated, if necessary with the addition of water. The granulate is dried overnight at 35°C, forced through a sieve of 1.2 mm mesh width and compressed to form tablets of approximately 6 mm diameter which are concave on both sides.

**EXAMPLE 33**

Tablets for chewing, each containing 75 mg of 2-(2,6-dioxo-3-methylpiperidin-3-yl)-4-aminophthalimide, can be prepared in the following manner:

		<u>Composition</u> (for 1000 tablets)
25		2-(2,6-dioxo-3-methylpiperidin-3-yl)-4-aminophthalimide..... 75.0 g
		mannitol .....
		230.0 g
30		lactose .....
		150.0 g
		talc..... 21.0 g
		glycine..... 12.5 g
		stearic acid .....
		10.0 g
		saccharin .....
		1.5 g
35		5% gelatin solution .....
		q.s.

All the solid ingredients are first forced through a sieve of 0.25 mm mesh width. The mannitol and the lactose are mixed, granulated with the addition of gelatin solu-

tion, forced through a sieve of 2 mm mesh width, dried at 50°C and again forced through a sieve of 1.7 mm mesh width. 2-(2,6-Dioxo-3-methylpiperidin-3-yl)-4-aminophthalimide, the glycine and the saccharin are carefully mixed, the mannitol, the lactose granulate, the stearic acid and the talc are added and the whole is mixed 5 thoroughly and compressed to form tablets of approximately 10 mm diameter which are concave on both sides and have a breaking groove on the upper side.

#### *EXAMPLE 34*

Tablets, each containing 10 mg of 2-(2,6-dioxoethylpiperidin-3-yl)-4-aminophthalimide, can be prepared in the following manner:

10                   Composition (for 1000 tablets)

15	2-(2,6-dioxoethylpiperidin-3-yl)- 4-aminophthalimide.....	10.0 g
	lactose .....	328.5 g
	corn starch.....	17.5 g
	polyethylene glycol 6000.....	5.0 g
	talc.....	25.0 g
	magnesium stearate.....	4.0 g
20	demineralized water.....	q.s.

The solid ingredients are first forced through a sieve of 0.6 mm mesh width. Then the active imide ingredient, lactose, talc, magnesium stearate and half of the starch are intimately mixed. The other half of the starch is suspended in 65 mL of water and this suspension is added to a boiling solution of the polyethylene glycol in 260 mL of water. The resulting paste is added to the pulverulent substances, and the whole is mixed and granulated, if necessary with the addition of water. The granulate is dried 25 overnight at 35°C, forced through a sieve of 1.2 mm mesh width and compressed to form tablets of approximately 10 mm diameter which are concave on both sides and have a breaking notch on the upper side.

30                   EXAMPLE 35

Gelatin dry-filled capsules, each containing 100 mg of 1-oxo-2-(2,6-dioxo-3-methylpiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline, can be prepared in the following manner:

- 40 -

Composition (for 1000 capsules)

5        1-oxo-2-(2,6-dioxo-3-methylpiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline..... 100.0 g  
          microcrystalline cellulose ..... 30.0 g  
          sodium lauryl sulfate..... 2.0 g  
          magnesium stearate..... 8.0 g

10        The sodium lauryl sulfate is sieved into the 1-oxo-2-(2,6-dioxo-3-methylpiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline through a sieve of 0.2 mm mesh width and the two components are intimately mixed for 10 minutes. The microcrystalline cellulose is then added through a sieve of 0.9 mm mesh width and the whole is again intimately mixed for 10 minutes. Finally, the magnesium stearate is added through a sieve of 0.8 mm width and, after mixing for a further 3 minutes, the mixture is introduced in portions of 140 mg each into size 0 (elongated) gelatin dry-fill capsules.

**EXAMPLE 36**

A 0.2% injection or infusion solution can be prepared, for example, in the following manner:

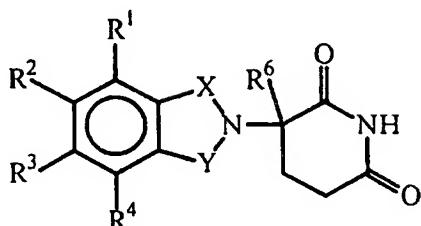
20        1-oxo-2-(2,6-dioxo-3-methylpiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline ..... 5.0 g  
          sodium chloride ..... 22.5 g  
          phosphate buffer pH 7.4 ..... 300.0 g  
25        demineralized water ..... to 2500.0 mL

1-Oxo-2-(2,6-dioxo-3-methylpiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline is dissolved in 1000 mL of water and filtered through a microfilter. The buffer solution is added and the whole is made up to 2500 mL with water. To prepare dosage unit forms, portions of 1.0 or 2.5 mL each are introduced into glass ampoules (each containing respectively 2.0 or 5.0 mg of imide).

## Claims

1

2        1. A 2,6-dioxopiperidine selected from the group consisting of (a) a  
 3        compound of the formula:



4

5        in which:

6        one of X and Y is C=O and the other of X and Y is C=O or CH<sub>2</sub>;

7        (i) each of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup>, independently of the others, is halo, alkyl of 1 to  
 8        4 carbon atoms, or alkoxy of 1 to 4 carbon atoms or (ii) one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and  
 9        R<sup>4</sup> is -NHR<sup>5</sup> and the remaining of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are hydrogen;

10       R<sup>5</sup> is hydrogen or alkyl of 1 to 8 carbon atoms;

11       R<sup>6</sup> is hydrogen, alkyl of 1 to 8 carbon atoms, benzyl, or halo;

12       provided that R<sup>6</sup> is other than hydrogen if X and Y are C=O and (i) each of R<sup>1</sup>,  
 13       R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> is fluoro or (ii) one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, or R<sup>4</sup> is amino; and

14       (b) the acid addition salts of said compounds which contain a nitrogen atom  
 15       capable of being protonated.

16       2. A compound according to claim 1 in which each of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup>.  
 17       independently of the others, is halo, alkyl of 1 to 4 carbon atoms, or alkoxy of 1 to 4  
 18       carbon atoms and R<sup>6</sup> is methyl, ethyl, or propyl.

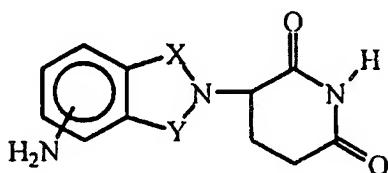
19       3. A compound according to claim 1 in which one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> is -  
 20       NH<sub>2</sub> and the remaining of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are hydrogen and R<sup>6</sup> is methyl, ethyl,  
 21       propyl, or benzyl.

1        4. A compound according to claim 1 which is 1-oxo-2-(2,6-dioxopiperidin-3-  
2        yl)-5-aminoisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4-aminoisoindoline, 1-oxo-  
3        2-(2,6-dioxopiperidin-3-yl)-6-aminoisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-7-  
4        aminoisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetrafluoroisoindoline, 1-  
5        oxo-2-(2,6-dioxopiperidin-3-yl)-4,5,6,7-tetrachloroisoindoline, 1-oxo-2-(2,6-dioxo-  
6        piperidin-3-yl)-4,5,6,7-tetramethylisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-  
7        4,5,6,7-tetramethoxyisoindoline, 3-(1-oxo-4-aminoisoindolin-1-yl)-3-methylpiperi-  
8        dine-2,6-dione 3-(1-oxo-4-aminoisoindolin-1-yl)-3-ethylpiperidine-2,6-dione, 3-(1-  
9        oxo-4-aminoisoindolin-1-yl)-3-propylpiperidine-2,6-dione, or 3-(3-aminophthalimi-  
10        do)-3-methylpiperidine-2,6-dione.

11        5. The method of reducing undesirable levels of TNF $\alpha$  in a mammal which  
12        comprises administering thereto an effective amount of a compound according to  
13        claim 1.

14        6. A pharmaceutical composition comprising a quantity of a compound  
15        according to claim 1 sufficient upon administration in a single or multiple dose regi-  
16        men to reduce levels of TNF $\alpha$  in a mammal in combination with a carrier.

17        7. The method of reducing undesirable levels of TNF $\alpha$  in a mammal which  
18        comprises administering thereto an effective amount of a compound of the formula:



19        in which in said compound one of X and Y is C=O and the other of X and Y is C=O  
20        or CH<sub>2</sub>.

22        8. The method according to claim 7 in which said compound is 1-oxo-2-(2,6-  
23        dioxopiperidin-3-yl)-5-aminoisoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4-amino-  
24        isoindoline, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-6-aminoisoindoline, 1-oxo-2-(2,6-di-

- 1 oxopiperidin-3-yl)-7-aminoisoindoline, 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl)-4-
- 2 aminoisoindoline, or 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl)-5-aminoisoindoline.

# INTERNATIONAL SEARCH REPORT

Internal Application No  
PCT/US 97/13375

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 C07D401/04 A61K31/445

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07D A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
X, Y	US 5 463 063 A (MULLER GEORGE W) 31 October 1995 see in particular formula 1A, column 4 ---	1-8
Y	EP 0 688 771 A (GRUENENTHAL GMBH) 27 December 1995 see in particular page 8 ---	1-6
Y	WO 92 14455 A (UNIV ROCKEFELLER) 3 September 1992 see the whole document ---	1-8
A	WO 94 20085 A (CHILDRENS HOSP MEDICAL CENTER) 15 September 1994 see in particular claim 2 ---	1-8 -/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "g" document member of the same patent family

1

Date of the actual completion of the international search	Date of mailing of the international search report
26 November 1997	16. 12. 97
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Steendijk, M

## INTERNATIONAL SEARCH REPORT

Internal Application No

PCT/US 97/13375

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	US 5 635 517 A (MULLER GEORGE W ET AL) 3 June 1997 see the whole document ---	1,4-8
P,X	NIWAYAMA S ET AL: "Potent Inhibition of Tumor Necrosis Factor-.alpha. Production by Tetrafluorothalidomide and Tetrafluorophthalimides" J. MED. CHEM. (JMCMAR,00222623);96; VOL.39 (16); PP.3044-3045, MASSACHUSETTS INSTITUTE OF TECHNOLOGY; CENTER FOR CANCER RESEARCH; CAMBRIDGE; 02139; MA; USA (US), XP002048231 see the whole document -----	1,5,6
Y		2,3

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

Internal Application No

PCT/US 97/13375

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
US 5463063 A	31-10-95	US 5605914 A		25-02-97
		AU 7216794 A		24-01-95
		CA 2166315 A		12-01-95
		CZ 9600010 A		16-10-96
		EP 0706521 A		17-04-96
		FI 956362 A		26-02-96
		HU 75312 A		28-05-97
		JP 9500872 T		28-01-97
		PL 312386 A		15-04-96
		SK 166595 A		08-01-97
		WO 9501348 A		12-01-95
EP 0688771 A	27-12-95	DE 4422237 A		04-01-96
		AU 2323095 A		11-01-96
		CA 2152432 A		25-12-95
		HU 72600 A		28-05-96
		JP 8092092 A		09-04-96
WO 9214455 A	03-09-92	AU 1531492 A		15-09-92
		US 5385901 A		31-01-95
WO 9420085 A	15-09-94	US 5629327 A		13-05-97
		AU 676722 B		20-03-97
		AU 6248694 A		26-09-94
		BR 9400764 A		01-11-94
		CA 2157288 A		15-09-94
		EP 0688211 A		27-12-95
		JP 8507767 T		20-08-96
		US 5593990 A		14-01-97
US 5635517 A	03-06-97	NONE		